

# **FRANKLIN COUNTY, OHIO**

## **2013 Consolidated Annual Action Plan & Evaluation Report**

(Program Period: April 1, 2013 – March 31, 2014)

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For the U.S. Department of Housing and Urban Development  
CPD Programs:

**Community Development Block Grant (CDBG)  
HOME Investment Partnership Program  
Emergency Solutions Grant (ESG)**

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## I. INTRODUCTION

This is the fourth annual report on the performance of Franklin County in addressing its Five Year Consolidated Plan goals and objectives for the 2010-2014 planning period. This Consolidated Annual Performance and Evaluation Report, or CAPER, summarizes the County's accomplishments achieved through the use of the entitlement funds allocated it from the U.S. Department of Housing and Urban Development (HUD).

Franklin County is the administrative authority of three HUD entitlement grants: the Community Development Block Grant (CDBG), the HOME Investment Partnership allocation and the Emergency Solutions Grant (ESG). Additionally, in 2014 the County expended the balance of its Homelessness Prevention & Rapid-Rehousing program (HPRP) funding.

The CDBG grant fund is used to fund a variety of programs and projects that benefit low- and moderate-income County residents. These projects range from infrastructure improvements and economic development loans and grants, to homeowner rehabilitation programs, public services and fair housing initiatives.

The HOME program funds are used to provide loans and grants to homeowners, to owners of rental property and to nonprofit community housing development organizations for acquisition, rehabilitation and the construction of affordable housing units.

The ESG Grant is awarded to the Community Shelter Board who allocates the money to various homeless shelters to support their operations.

During the 2014 grant year, the Franklin County Commissioners expended \$2,624,163.12 in CDBG, HOME, and ESG funds to meet the County's Consolidated Plan goals.

The Franklin County Commissioners have authorized the Department of Economic Development and Planning to implement these programs. Anyone wishing to comment about the contents of this plan is encouraged to contact Mark Paxson in the Franklin County Department of Economic Development and Planning at 614-525-5578 or at [mstpaxson@franklincountyohio.gov](mailto:mstpaxson@franklincountyohio.gov). Those comments as party to the County's citizen participation process will be forwarded along with this report to the Franklin County Board of Commissioners and the Department of Housing & Urban Development.

A notice of availability of this Consolidated Annual Performance Report was published in The Columbus Dispatch on May 18, 2014. Notices were also mailed to local officials and interested citizens, and copies of the report were placed in suburban public libraries and on the Community Development web site on or about the above referenced date.

## II. SUMMARY OF RESOURCES AND ACCOMPLISHMENTS

For the County HUD program year 2013, beginning April 1, 2013 and ending March 31, 2014, Franklin County received the following funds from HUD:

Community Development Block Grant (CDBG)	\$1,906,140
HOME Investment Partnerships Program (HOME)	616,872
Emergency Solutions Grant (ESG)	<u>131,668</u>
	\$2,654,680

The County also had the additional following funds available for program and project awards in fiscal year 2013:

Prior Year's Reprogrammed, CDBG	\$120,000.00
Prior Year's Reprogrammed, HOME	5,813.00
Program Income, CDBG	30,000.00
Program Income, HOME	34,840.00
HOME Cash Match/Match Reserve	<u>390,000.00</u>
	\$580,653.00

In its five year Consolidated Plan, Franklin County prioritized three areas as being critical to meeting the community development needs of our urban county. The areas identified through citizen input, demographic study, and community research are:

- Affordable Housing Opportunity
- Neighborhood and Target Area Revitalization
- Economic Development and Economic Opportunity

Franklin County specifically funds programs that address those needs. In 2013 the County awarded and had unexpended from previous year's contracts \$5,162,718.37 in CDBG, HOME and ESG grant funds which leveraged an additional \$17,648,899 to meet these needs. Table 1, as seen below, offers a breakdown of how Franklin County allocated its available funds and our success in disbursing these funds. In 2013, the County expended \$1,441,571.39 in CDBG funds, \$962,920.32 in HOME funds, \$219,671.41 in ESG funds for a total expenditure of \$2,624,163.12.

**Table 1: CDBG, HOME, ESG and HOMELESSNESS PREVENTION FUNDS, Y 2013**

Category	Budgets*	Expenditures	Unexpended	% Expended
Admin./Planning	598,635.35	356,307.27	242,328.08	59.5%
Public Services	600,128.37	402,701.78	197,426.59	67.1%
Infrastructure	444,018.32	444,018.32	0.00	100%
Housing	3,125,822.66	1,296,154.14	1,829,668.52	41.4%
Economic Development	394,113.67	124,981.61	269,132.06	31.7%
<b>2013 Grant Total</b>	<b>\$5,162,718.37</b>	<b>\$2,624,163.12</b>	<b>\$2,538,555.25</b>	<b>50.8%</b>

\*Budgets include dollars remaining from previous year contracts.

The Franklin County 2010-2014 Strategic Plan goals and objectives on the following pages were established by the county in consultation with the community through its citizen participation and Consolidated Plan process. The matrix summarizes the objectives, targets, and actual results by theme.

**THEME ONE: AFFORDABLE HOUSING OPPORTUNITY 5-YEAR OBJECTIVE**

**DH2A: Increase the supply of affordable rental housing units for low- and extremely low- income families and individuals outside of areas of poverty and near employment growth areas.**

1. Provide gap financing to for-profit and non-profit developers of rental apartments.	2010	89	300 units of affordable housing:  2010 Commons at Livingston/ Jefferson Ave. Apts HOME  2011 Avondale Woods/Lincoln Gardens HOME  2012 Elim Manor/Inglewood Courts  2013 Commons at Livingston II
	2011	204	
	2012	158	
	2013	50	
	2014		
	TOTAL	501	
2. Provide gap financing to for-profit and non-profit developers of single family homes.	2010	0	Support 25 Units of assisted housing NSP-1; NSP-2  Green Housing/HOTH; HOME  HOTH CHDO activities; HOME
	2011	24	
	2012	19	
	2013	5	
	2014		
	TOTAL	43	
3. Provide infrastructure improvements in support of affordable rental housing construction.	2010	0	Rehab. Construct 50 units of affordable rental housing.  CHN Rehabilitation Initiative
	2011	72	
	2012	67	
	2013	0	
	2014		
	TOTAL	139	

**DH1A: Increase the range of housing options and related services for special needs populations (E.G. homeless, elderly, disabled).**

1. Help prevent homelessness and help families and individuals move out of emergency shelter and into transitional housing or permanent housing.	2010	88	500 individuals assisted.
	2011	196	
	2012	290	Homelessness Prevention (HPRP); Program ended in 2013.
	2013	*	
	2014	*	
	<b>TOTAL</b>	574	*Program ended in 2012
2. Contribute to operating support for emergency shelters by the Community Shelter Board.	2010	680	3,000 people assisted.
	2011	2844	
	2012	3802	Community Service Board - ESG & HESG
	2013	4936	
	2014		
	<b>TOTAL</b>	12,262	
3. Provide support to keep families housed.	2010	105	300 households assisted.
	2011	139	
	2012	752	Columbus Housing Network – Retention Specialist, CDBG
	2013	217	
	2014		
	<b>TOTAL</b>	1213	2013 Housing Counseling – MORPC, Homes on the Hill; Columbus Urban League
4. Allocate funds to provide services that benefit the hearing impaired.	2010	13	Provide services to 30 households.
	2011	12	
	2012	8	MOBILE, Hearing enhancement services
	2013	10	
	2014		
	<b>TOTAL</b>	43	
5. Provide supportive services to afflicted populations.	2010	112	Provide services to 625 individuals.
	2011	112	
	2012	0*	Lifecare Alliance; CDBG
	2013	0#	
	2014		*2012 numbers were captured in 2011 because of the execution of an early contract. #2013 numbers will be identified in 2014
	<b>TOTAL</b>	224	

**DH2B: Expand the conservation and improvement of existing affordable owner and renter housing.**

1. Provide loans and/or grants to rehabilitate-dwellings of low-moderate income households and abate lead as necessary.	2011	10	Rehab100 homes.
	2012	18	
	2013	29	Mid-Ohio Regional Planning Commission (MORPC) - Single Family Rehabilitation, HOME
	2014	30	
	2015		
	TOTAL	87	
2. Provide loans and/or grants for urgent repairs to enable low-income and extremely low-income homeowners to remain in their homes.	2010	156	Perform 275 urgent repairs.
	2011	124	
	2012	128	MORPC Urgent Need Repair, CDBG (includes CDBG-R funding)
	2013	113	
	2014		ECDI Elderly & Disabled Minor Repair Program, CDBG
	TOTAL	521	
3. Provide loans and/or grants for handicapped accessibility repairs to enable low-income and extremely low-income tenants and homeowners to remain in their homes.	2010	15	Rehab 50 handicapped units
	2011	*	
	2012	*	MORPC Handicapped Accessibility, CDBG
	2013	*	
	2014	*	*Program was combined into the MORPC Urgent repair Program in 2013.
	TOTAL	15	
4. Provide grants for low-income and extremely low-income homeowners for sewer repairs.	2010	21	Perform 75 sewer repairs
	2011	*	
	2012	*	MORPC Sewer Repair, CDBG
	2013	*	
	2014	*	*Program was combined into the MORPC Urgent repair Program in 2013.
	TOTAL	21	
5. Expand and preserve the supply of affordable rental housing throughout Franklin County.	2010	0	Facilitate the expansion and preservation of 500 units of affordable housing.
	2011	166	
	2012	0	MORPC Housing Advisory Board,
	2013	100	
	2014		CDBG Lincoln Green/Franklin Station
	TOTAL	266	

**DH2C: Increase opportunities for low- and moderate- income households to become and remain homeowners.**

1. Enable moderate-income families to buy their first home.	2010	20	Assist 50 households in purchasing their first home.
	2011	19	
	2012	3	Columbus Housing Partnership, Down Payment Assistance, HOME/CDBG
	2013	18	
	2014		
	TOTAL	60	
2. Enable low and moderate income families to affordably access public water and/or sewer systems.	2010	16	200 low-moderate income households access public sewer and/or water systems.
	2011	77	
	2012	37	Water Quality Partnerships, CDBG
	2013	9	
	2014		
	TOTAL	139	
3. Provide assistance to renters and homeowners in maintaining and staying housed in their residences.	2010	369	Provide assistance to 1000 households.
	2011	650	2011 Foreclosure Prevention CUL/MORPC
	2012	380	2011 Legal Aid Society, CDBG
	2013	348	2011 Furniture Bank, CDBG
	2014		Rebuilding Together, CDBG
	TOTAL	1,747	

**DH1B: Ensure equal access to housing.**

1. Provide fair housing services to the community.	2010	4,045	Assist 5,000 individuals (first time & returns) with housing services. Complete a new Analysis of the Impediments to Fair Housing study.
	2011	887	
	2012	2,311	Columbus Urban League, Fair Housing Services, CDBG
	2013	2,414	
	2014		
	TOTAL	9,671	

**THEME TWO: NEIGHBORHOOD & TARGET AREA REVITALIZATION 5-YEAR OBJECTIVES**

**SL3A: Repair and replace streets, curbs, gutters and sidewalks; placement of community gardens and provide façade improvements within identified Target Areas.**

1. Upgrade streets, curbs and gutters, sidewalks.	2010	2	Complete 8 such infrastructure Projects.  2010 - City of Reynoldsburg; Blendon Township,  2011 - Village of Urbancrest; Urban Hollow  2012 – City of Whitehall  2013 – City of Whitehall; Village of Urbancrest
	2011	2	
	2012	1	
	2013	2	
	2014		
	TOTAL	7	
2. Protect and enhance neighborhoods through the development of water conservation efforts and community gardens (individuals served.)	2010	*	Assist 1,000 individuals.  Community gardens (program was initiated in 2011); CDBG.
	2011	1,234	
	2012	558	
	2013	1,327	
	2014		
	TOTAL	3,119	
4. Promote access to fresh healthy foods in low-income communities that have limited access to healthy food choices.	2010	*	Assist 10 stores/businesses.  Healthy Stores Initiative (initiated in 2011); CDBG
	2011	3	
	2012	3	
	2013	8	
	2014		
	TOTAL	14	

**SL3B: Repair and replace sewer systems or make water improvements; construct recreational or public facilities and demolish blighted structures within identified Target Areas.**

1. Repair and replace sewer systems or make water improvements.	2010	1	Complete 3 such infrastructure projects.  2010 – City of Whitehall; CDBG  2012 – City of Hilliard; CDBG
	2011	0	
	2012	1	
	2013	0	
	2014		
	TOTAL	2	

2. Provide incentives for construction and/or rehabilitation of recreational and/or public facilities.	2010	0	Provide incentives to 3 projects.  2012 Columbus Compact – Drexel Theater
	2011	0	
	2012	1	
	2013	0	
	2014		
	TOTAL	1	
3. Implement a Township Demolition program	2010	*	Demolish 30 nuisance properties.  Pilot demolition program (program was funded in 2010 and implemented in 2011.) CDBG
	2011	0	
	2012	14	
	2013	20	
	2014		
	TOTAL	34	

**SL1A: Provide technical and financial assistance to community based organizations in order to address neighborhood needs.**

1. Support the development of CHDO capacities.	2010	2	Provide 10 annual operating funds allocations to CHDOs.  CHDO Development & Support program, HOME  In 2013, HOTH was the county's lone functioning CHODO
	2011	2	
	2012	2	
	2013	1	
	2014		
	TOTAL	7	
2. Monitor the development of CHDOs receiving County HOME funds.	2010	2	Perform 10 annual assessments of CHDOs which include capacity building suggestions.  Community Development Collaborative of Columbus, CHDO Monitoring, HOME  In 2013, HOTH was the county's lone functioning CHODO
	2011	2	
	2012	2	
	2013	1	
	2014		
	TOTAL	7	

3. Contribute to the construction CHDO single family homes.	2010	1	Construct 45 single family CHODO homes. Homes on the Hill, HOME Miracit, HOME
	2011	6	
	2012	2	
	2013	5	
	2014		
	TOTAL	14	

**THEME THREE: ECONOMIC DEVELOPMENT & ECONOMIC OPPORTUNITY 5-YEAR OBJECTIVES**

**E03A: Help low-income residents obtain and keep jobs that match their interests and potential.**

1. Provide loans to low and moderate individuals to create and expand micro-enterprises.	2010	33	Provide loan assistance to 100 businesses. Economic & Community Development Institute (ECDI), Microenterprise Loans; 2011 & 2013 (ECDI) Retail Microenterprise Loans, CDBG
	2011	27	
	2012	24	
	2013	33	
	2014		
	TOTAL	115	
2. First Source Hiring Agreement link low-income residents to high growth job markets.	2010	0	Serve 100 low-income individuals through the entering into of First Source Hiring Agreements with businesses. Program is being reconstituted in 2014.
	2011	0	
	2012	0	
	2013	0	
	2014		
	TOTAL	0	
3. Provide specialized training to youth in areas of their interest.	2010	*	Assist 25 youths. GreenCorps; (program was initiated in 2011) CDBG.
	2011	5	
	2012	4	
	2013	6	
	2014		
	TOTAL	15	
4. Provide professional attire for women seeking employment.	2010	*	Dress for Success; CDBG (initiated in 2013)
	2011	*	
	2012	36	
	2013	56	
	2014		
	Total	92	

<b>E03B: Promote thriving small and emerging businesses.</b>			
1. Provide gap financing to businesses that create new job opportunities through expansion.	2010	*	50 jobs created and 50 jobs retained (total of 100) through the Franklin County Biz Launch fund.  Biz Launch Fund CDBG (funded in 2011, implemented in 2012.)
	2011	*	
	2012	7	
	2013	4	
	2014		
	TOTAL	11	
2. Provide development training to businesses that create new job opportunities caused by expansion funding.	2010	68	Provide business development training to 50 businesses.  Increase CDC, Inc., CDBG
	2011	35	
	2013	62	
	2013	19	
	2014		
	TOTAL	184	
<b>E01A: Create a competitive business environment in low-income and targeted areas that generates employment, business growth and consumer services.</b>			
1. Promote development within Community Reinvestment Areas and Enterprise Zones within Franklin County.	2010	44	Create and/or retain 1,500 jobs.  2010 – TS Tech (33); Pharmaforce (8); Vision Service Plan (3).  2011 – TS Tech (9); TS TECH USA (71); Laserflex (4); BMW Financial (76); Pharmaforce (16); Lifeline Mobile (6); Vision Service Plan (12)  2012 – Federal Express (450); Columbus Regional Airport Authority (8); United states of America (190); Meridian Industrial Trust (167); Life Line Mobile (53); TS Tech USA (633); TS Tech North America (107); Pharma Force (138); BMW Financial (474); LaserFlex (45).  2013 – BMW Financial (721); Laserflex (63); Pharmaforce (96); Lifeline Mobile (52); TS Tech Americas (165); TS Tech USA (993); VSP (121).
	2011	194	
	2012	2265	
	2013	2211	
	2014		
	TOTAL	4714	

**E01B: Increase low-income individuals' access to regional job markets and locations.**

1. Facilitate the placement of jobs adjacent to where low-income individuals can access them.	2010	0	Facilitate 5 projects that result in the citing of businesses adjacent to low-income residential areas.
	2011	0	
	2012	2	
	2013	1	2012 – Hollywood casino; SID Tool (1,800 jobs)
	2014		
	TOTAL	3	2013 –Teleperformance (240 jobs)

Listed below is a summary of the above matrix by priority need, including specific objectives and outcomes. Franklin County Ohio, Summary of Specific Housing & Community Development Objectives for the Consolidated Plan timeframe 2010-2014:

Priority Need: Housing
Specific Objective: DH2A01. Provide gap financing to for-profit and non-profit developers of rental apartments. Outcome: Resulting in the development of 300 affordable housing units.
Specific Objective: DH2A02. Provide gap financing to for-profit and non-profit developers of single family homes. Outcome: 25 supportive housing units.
Specific Objective: DH2A03. Provide infrastructure improvements in support of affordable rental construction. Outcome: Construct 50 units of affordable rental housing.
Specific Objective: DH1A01. Help prevent homelessness and help families and individuals move out of emergency shelters and into transitional or permanent housing. Outcome: Assist 500 households.
Specific Objective: DH1A02. Provide operating support to emergency shelters by way of the Community Shelter Board. Outcome: Assist 3,000 individuals.
Specific Objective: DH1A03. Provide operating support to supportive housing initiatives (provision of a staff retention specialist.) Outcome: Assist 300 households.
Specific Objective: DH1A04. Provide funds to provide audio enhancement equipment to hearing impaired individuals. Outcome: Provide services to 30 households.

Specific Objective: DH1A05. Provide support to afflicted populations.  
Outcome: Provide services to 625 households.

Specific Objective: DH2B01. Provide loans and/or grants to rehabilitate-dwellings of low-moderate income households, including lead abatement.  
Outcome: Rehabilitate 100 homes.

Specific Objective: DH2B02. Provide loans and/or grants for urgent repairs to enable low-income and extremely low-income homeowners to remain in their homes.  
Outcome: Perform 275 urgent repairs.

Specific Objective: DH2B03. Provide loans and/or grants for handicapped accessibility repairs to enable low-income and extremely low-income disabled tenants and homeowners to remain in their rental units or homes.  
Outcome: Perform 25 handicapped repairs.

Specific Objective: DH2B04. Provide grants for low-income and extremely low-income homeowners for sewer repairs.  
Outcome: Perform 75 urgent sewer repairs.

Specific Objective: DH2B05. Expand and preserve the supply of affordable rental housing units throughout Franklin County.  
Outcome: Facilitate the expansion and preservation of 500 units of affordable County housing.

Specific Objective: DH2C01. Enable moderate income families to purchase a home.  
Outcome: Assist 50 households in becoming homeowners.

Specific Objective: DH2C02. Enable low and moderate income families to affordably access public water and/or sewer systems.  
Outcome: Assist 1000 households to access water and/or sewer systems.

Specific Objective: DH2C03. Provide assistance to renters and homeowners in maintaining and remaining housed in their residences.  
Outcome: Provide assistance to 1000 households.

Specific Objective: DH1B03. Provide fair housing services to the community.  
Outcome: Assist 25,000 (first time and return) clients with housing services.

Priority Need: Neighborhood Revitalization

Specific Objective: SL3A01. Upgrade streets, curbs and gutters, sidewalks, water and sewer lines and install or replace storm sewers to accommodate increased runoff from development.  
Outcome: Complete 5 such infrastructure projects.

Specific Objective: SL3A02. Protect and enhance neighborhoods through the implementation of water conservation efforts.

Outcome: Assist 100 households.

Specific Objective: SL3B01. Replace deteriorated streets, curbs and gutters, sidewalks, water and sewer lines and install or replace storm sewers to accommodate increased runoff from development.

Outcome: Complete 5 such infrastructure projects.

Specific Objective: SL3B02. Provide incentives for construction and/or rehabilitation of recreational or public facilities.

Outcome: Construct or rehab. 3 such infrastructure projects.

Specific Objective: SL3B03. Implement a township demolition program.

Outcome: Demolish 30 nuisance properties.

Specific Objective: SL1A01. Support the development of CHDO capacities.

Outcome: Provide 10 annual operating funds allocations to CHDOs.

Specific Objective: SL1A02. Monitor the development of CHDOs receiving County HOME funds.

Outcome: Perform 10 annual assessments of CHDOs which include capacity building suggestions.

Specific Objective: SL1A03. Contribute to the construction of CHDO single family homes.

Outcome: Construct 45 single family CHDO homes.

Priority Need: Economic Opportunity

Specific Objective: E03A01. Provide loans to low and moderate income individuals to create and expand micro-enterprises.

Outcome: Create or retain 100 jobs through the loans

Specific Objective: E03A02. First Source Hiring Agreement linking low-income residents to high growth job markets.

Outcome: Assist 100 low-income individuals by entering into First Source Hiring Agreements with businesses.

Specific Objective: E03B01. Provide gap financing to businesses that create new job opportunities caused by expansion funding.

Outcome: Create 50 jobs and retain 50 jobs through the Franklin County Biz Launch Fund.

Specific Objective: E03B02. Provide development training to businesses that create new job opportunities prompted by expansion.

Outcome: Provide business development training to 50 businesses.

Specific Objective: E01A01. Promote development within Community Reinvestment Areas and Enterprise Zones within Franklin County.  
Outcome: Create and/or retain 1500 jobs.

Specific Objective: E01B01. Facilitate the placement of jobs adjacent to where low-income individuals can access them.  
Outcome: Facilitate 5 projects that result in the citing of businesses adjacent to low-income residential areas.

## **Fair Housing Report –**

### **A. Fair Housing Activities**

Franklin County and the City of Columbus have a joint agreement to contract with the Columbus Urban League to conduct activities and events to reduce and eliminate both legal and social barriers to housing opportunities. Additionally, that contract requires the Urban League to aid in the efforts of the target population to gain access to fair and affordable housing opportunities.

Fair Housing Services were rendered to 2,414 County residents via the \$82,079.49 in 2013 expenditures. Table 2 below cites the Urban League's 2013 program year, initiatives, outcomes, outputs and results.

Table 2 Columbus Urban League 2013 Activities Report

**(The remainder of this page has been kept intentionally blank to accommodate the table below.)**

Outcomes	Timeframe	Outputs	2013 Outcomes
EDUCATION AND OUTREACH	April 1, 2013- March 31, 2014 On-going	(30) External/internal presentations and workshops will be conducted throughout the community.	Conducted (25) presentations, with 424 persons attending. Distributed 1257 landlord-tenant books and Fair Housing brochures.
HOUSING DISCRIMINATION TESTERS	As needed	Maintain an updated roster of trained testers for investigative testing. Training of testers to be conducted as needed to maintain an adequate number of testers.	Maintained testers contact list. Conducted training for 12 testers.
HOMEBUYER EDUCATION SESSIONS	April 1, 2013 – March 31, 2014	Conduct a minimum of (12) 8 hour education sessions	Conducted 12 Homebuyer Education with 587 perspective homebuyers attending. 171 potential homebuyers completed individual counseling sessions.
DISCRIMINATION COMPLAINT-INTAKE AND ASSESSMENT	April 1, 2013 – March 31, 2014 (ongoing)	Discrimination case intake averages between 20 – 30 cases per year	Received (18) new discrimination Complaints.
LANDLORD/TENANT INTAKE AND ASSESSMENT	April 1, 2013 – March 31, 2014 (ongoing)	Intake, assessment and mediation of 1000 –1500 individual cases through walk – in and telephone contacts	Counseled 815 landlord-tenant walk-in clients and counseled an additional 399 clients via the telephone for a total of 1214 clients counseled.
AFFIRMATIVE MARKETING COMPLAINT MONITORING	April 1, 2013 – March 31, 2014	Conduct 2 – 10 (as assigned) monitoring visits to City/County funded projects to assure compliance with Affirmative Marketing	Conducted monitoring visits at 3 project sites.

## Fair Housing/Impediments Plan

The County's Fair Housing plan was updated in 2013. The plan is available at [www.franklincountyohio.gov](http://www.franklincountyohio.gov) under Economic Development & Planning; Development and then Plans.

<http://development.franklincountyohio.gov/development/community-development/doc/2013ImpedimentsFairHousingPlan.pdf>.

## Affirmative Marketing

Assessment of Affirmative Marketing actions and outreach to minority and women owned businesses:

The County's outreach efforts to minority and women owned businesses are conducted through the County's Purchasing Division's "Small and Emerging Business Program." Services to such businesses include technical and procurement assistance, as well as referrals to resources such as the Ohio State University in regards to the review of financial statements and assistance in constructing business plans, and to State and Federal offices for training opportunities and contracting and sub-contracting job opportunities. Efforts that are contractually written into all County infrastructure or related agreements that require the notification and utilization to the fullest extent possible, the participation of minority and women owned businesses in the County's prime and sub-prime contractors bidding and award process.

In regards to affirmative marketing testing, the County contracts with the Columbus Urban League to test the County's housing portfolio. (A contracting which is an extension of the role the Urban League performs in regards to providing fair housing services for the community in terms of the provision of legal services, referral and investigation.)

## Affordable Housing Opportunity

Franklin County's affordable housing opportunity goals included in the Strategic Plan section of our Consolidated Plan include:

1. Increase the supply of affordable rental housing units for low-and extremely low income families and individuals outside areas of poverty and near employment growth areas.
2. Increase the range of housing options and related services for special needs populations (e.g. homeless, elderly, disabled).
3. Expand the conservation and improvement of existing affordable owner and renter housing.
4. Increase opportunities for low-and moderate-income households to become and remain homeowners.
5. Ensure equal access to housing.

In order to achieve these goals, Franklin County's affordable housing efforts include home rehabilitation, minor home repair, homeownership opportunities, and affordable rental housing initiatives. Franklin County contracted with the Mid-Ohio Regional Planning Commission

(MORPC), the Community Development Collaborative, the Affordable Housing Trust, the Columbus Housing Partnership (CHP), Community Housing Network (CHN), Community Shelter Board (CSB), the Economic & Community Development Institute (ECDI), Miracit, Homes on the Hill, National Church Residences and the Franklin County Board of Health to implement these activities. Further, the County awards additional points to CDBG applications that document those agencies or jurisdictions have adopted either affirmative action or fair housing strategies and/or policies. Housing expenditures and results for FY 2013 were as follows (Table 3):

**Table 3 Housing expenditures and outcomes**

<b>Activity</b>	<b>HUD Matrix Code</b>	<b>Expenditures</b>	<b>Units Produced/ Households served/Projects</b>
Rehab: Single Unit Residential	14A	\$571,287.08	30
Public Facilities/Improvements	03	343,998.86	3
Urgent repairs	14A	177,128.00	57
Water Quality Partnerships	14A	16,202.65	9
Homebuyer Down payment Asst.	13	117,674.66	18
Micro-enterprise Loans	18A	54,543.22	33
HOME Rental Construction	HOME	406,781.50	55
Housing retention specialist	05	42,652.79	217
Infrastructure	03	444,018.32	3
Minor Home Repair	14A	42,180.92	56
Emergency Solutions Grant	03T	219,671.41	4,936
Fair Housing	21D/05J	82,079.49	2,414

**Neighborhood and Target Area Revitalization**

The County goals for neighborhood and target area revitalization includes furthering such non-housing community development initiatives as water quality programs, redevelopment of commercial areas, repair and replacement of deteriorated infrastructure in older communities and the allocation of funding for the construction of facilities for youth, seniors, and the homeless.

Goals specific to our five-year Strategic Plan are:

1. Upgrade residential subdivisions and small villages to current standards by constructing sanitary sewer, water and storm sewers and/or streets with curbs and gutters.

2. Encourage the structural and economic redevelopment of first ring suburbs and commercial areas in townships.
3. Repair and replace deteriorated infrastructure in older city, township and village centers.
4. Address the low-income neighborhood needs in growing suburban cities, villages and townships.
5. Provide technical and financial assistance to community based organizations in order to address neighborhood needs.

We have partnered with a variety of not-for-profit agencies and local governments to implement our plan. Projects and expenditures during 2013 are as follows (Table 4):

**Table 4 – Infrastructure projects**

<b>Activity</b>	<b>Project</b>	<b>HUD Matrix Code</b>	<b>Project/Matrix Code Expenditure</b>	<b>Improvements Completed</b>
Street Improvements -	City of Whitehall	03K	\$ 10,512.15	1
Demolition -	Twp. Demolition Program	04	\$ 100,019.46	20
Public Facility	Columbus Compact	03	\$ 33,486.71	1
Street Improvements	Village of Urbancrest	03K	\$300,000.00	1

### **Economic Development and Opportunity**

The County’s goals for Economic Development and Opportunity are more task and collaborative oriented and less grant oriented. Goals in our five-year plan include:

1. Promote workforce development through education, training, and other linkages to high growth job markets.
2. Help low-income residents obtain and keep jobs that match their interests and potential.
3. Promote thriving small and emerging businesses.
4. Create a competitive business environment in low-income and targeted areas that generates employment, business growth and consumer services.
5. Increase low-income individuals’ access to regional job markets and locations.

We have partnered in the past with Franklin County Jobs and Family Services, the City of Columbus, and the State of Ohio to meet our objectives which include promoting the First Source Hiring Agreement, assisting TANF customers in obtaining jobs, implementing a small and emerging business procurement policy and having partnered with the Rickenbacker Port Authority for job creation. All of these issues are ongoing and are addressed on a regular basis as we implement our economic development policies. In 2013, we continued our grant awards to local not-for-profits for business loan programs. Expenditures and outcomes under these programs were as follows (Table 5):

**Table 5 – Economic Development expenditures and outcomes**

<b>Activity</b>	<b>HUD Matrix Code</b>	<b>Expenditure</b>	<b># of loans/businesses asst. awarded</b>	<b># of jobs Created/Retained</b>
Micro. Dev. Program	18B	\$ 68,531.64	7	6
Micro Loan Programs	18C	\$233,559.88	33	99

**Continuum of Care –**

City of Columbus and Franklin County Continuum of Care (COC)

Community Shelter Board (CSB), the local Collaborative Applicant, prepared and submitted a HUD COC Consolidated Application to the Department of Housing and Urban Development (HUD) for all Columbus and Franklin County HUD funded projects. CSB is a non-profit organization that innovates solutions, creates collaborations, and invests in quality programs in order to end homelessness in Columbus and Franklin County.

CSB oversees an annual budget of \$13 million to support homeless programs and services. Last year, these programs served more than 10,000 people. CSB is funded by the City of Columbus, the Franklin County Board of Commissioners, the United Way of Central Ohio, The Columbus Foundation, Nationwide Insurance Foundation, American Electric Power Foundation, the U.S. Department of Housing and Urban Development, the State of Ohio, and many other public and private investors.

Since 1997, CSB has assembled a Continuum of Care Steering Committee to develop local continuum of care priorities and review projects to be included in the Columbus and Franklin County annual application submission to HUD. In 2013, the decision was made to merge the Continuum of Care Steering Committee with the Rebuilding Lives Funder Collaborative (RLFC) to create one single Continuum of Care governing structure. The change was necessary to comply with federal HEARTH legislation. The RLFC includes representatives of the City of Columbus, Franklin County, Columbus Metropolitan Housing Authority, Columbus Coalition for the Homeless, ADAMH Board of Franklin County, United Way, The Columbus Foundation, Veterans Services Commission, U.S. Department of Veterans Affairs, Ohio Capital Corporation for Housing, Corporation for Supportive Housing, Columbus Legal Aid Society, Columbus City Schools – Project Connect, CSB, Mid-Ohio Regional Planning Commission, Columbus Coalition against Family Violence, and homeless or formerly homeless persons.

In 2013, the RLFC approved CSB to apply to become the Unified Funding Agency (UFA) for the Columbus and Franklin County Continuum of Care. CSB applied for and was designated by HUD as the Columbus and Franklin County CoC Unified Funding Agency.

Similar to previous years, the 2013 Continuum of Care process for reviewing and submitting projects was developed and implemented by CSB on behalf of the RLFC in preparation for the 2013 HUD funding application. The process also takes into account the growing number and dollar amount of existing projects that require renewal funding each year.

2014 Award and 2014 renewal awards

In April 2014, HUD announced a funding award of over \$9 million to assist homeless programs in Columbus and Franklin County. These funds represent a major source of federal assistance to meet the housing and supportive service needs of previously homeless individuals and families. The HUD CoC grants provide funding for 33 renewal projects in Columbus and Franklin County that represent 1,162 units of housing for families and individuals. Of these, 482 units are part of the Shelter Plus Care/Rental Assistance program administered by Columbus Metropolitan Housing Authority.

For the 2013 application process, we submitted one proposal for a new project –Volunteers of America Greater Ohio’s Van Buren Supportive Housing which will provide 60 permanent supportive housing units that target the chronically homeless. The total funding request for the 2013 application including CoC Planning, HMIS, the new permanent supportive housing project, and UFA funding was \$9,185,664. So far, HUD announced awards for renewal funding while new project awards will not be announced until June 2014.

See below for a complete list of HUD funded renewal projects awarded for 2014.

2013 HUD Continuum of Care Renewal Project Awards for the CY2014 operating year

<u>Agency:</u>	<u>Project:</u>	<u>Type:</u>	<u>2014 Amount</u>
Amethyst	Rapid Stabilization (RSVP)	TH: Renewal	Program closed
Amethyst	SRA 82	S+C: Renewal	\$ 589,114
Amethyst	TRA 10	S+C: Renewal	\$ 99,830
AIDS Resource Center Ohio	TRA 89	S+C: Renewal	\$ 715,419
Community Housing Network	Briggsdale	PSH: Renewal	\$ 225,525
Community Housing Network	Community ACT	PSH: Renewal	\$ 248,698
Community Housing Network	East Fifth Avenue	PSH: Renewal	\$ 232,914
Community Housing Network	Family Homes	PSH: Renewal	\$ 34,711
Community Housing Network	North High	PSH: Renewal	\$ 82,050

Community Housing Network	Parsons	PSH: Renewal	\$ 256,811
Community Housing Network	Rebuilding Lives	PSH: Renewal	\$ 665,788
Community Housing Network	Safe Havens	PSH: Renewal	\$ 187,201
Community Housing Network	Southpoint Place	PSH: Renewal	\$ 301,369
Community Housing Network	SRA 172	S+C: Renewal	\$ 1,364,478
Community Housing Network	St. Clair	PSH: Renewal	\$ 86,023
Community Housing Network	TRA 149	S+C: Renewal	\$ 1,274,883
Community Housing Network	Wicklow-Southpoint Place	PSH: Renewal	\$ 58,185
Community Housing Network	Wilson	PSH: Renewal	\$ 97,704
Community Housing Network	Inglewood	PSH: Renewal	\$ 60,247
Community Shelter Board	HMIS	HMIS Renewal	\$ 164,070
Huckleberry House	Transitional Living	TH: Renewal	\$ 232,135
Maryhaven	Chantry Place Families	PSH: Renewal	\$ 47,304
Maryhaven	Supportive Housing	PSH: Renewal	\$ 135,892
National Church Residences	Commons at Buckingham	PSH: Renewal	\$ 41,705
National Church Residences	Commons at Grant	PSH: Renewal	\$ 246,617
Southeast, Inc.	New Horizons	TH: Renewal	\$ 256,818

Southeast, Inc.	Rebuilding Lives	TH: Renewal	\$ 213,863
Volunteers of America	Family PSH	PSH: Renewal	\$ 359,744
The Salvation Army	Job2Housing	TH-Renewal	\$ 277,373
Community Housing Network	CHN Leasing	PSH-Renewal	\$ 213,864
National Church Residences	Commons at Third	PSH-Renewal	\$ 41,831
YWCA	SRA 25	S+C Renewal:	\$ 115,650
YWCA	WINGS I	PSH Renewal:	\$ 97,548
YWCA	WINGS II	PSH Renewal:	\$ 160,300

TOTAL \$ 9,185,664

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HMIS = Homeless Management Information System

PSH = Permanent Supportive Housing

S+C = Shelter Plus Care

SRA = Sponsor-Based Rental Assistance

TH = Transitional Housing

TRA = Tenant-Based Rental Assistance

Accomplishments Chart:

In addition to implementing the above cited projects and initiatives, HUD has also given recipient communities the task of ending chronic homelessness and other strategic goals included in the HEARTH legislation. Below is the 2013 Continuum of Care accomplishments relative to the objectives HUD has established towards accomplishing the federal strategic plan to end homelessness. This information was reported in the 2013 Continuum of Care Application for progress on the strategic planning objectives for 2012-2013.

Objective	FY2013 Proposed Numeric Achievement:		FY 2013 Actual Numeric Achievement	
Total number of PSH beds dedicated for use by the chronically homeless.	495	Beds	495	Beds
Percentage of participants in all CoC-funded projects that will achieve housing stability in an operating year.	80	%	91	%
Percentage of participants in all CoC-funded projects that increased their income from employment from entry date to program exit.	20 <sup>1</sup>	%	16	%
Percentage of participants in all CoC-funded projects that increased their income from sources other than employment from entry date to program exit.	54 <sup>1</sup>	%	30	%
Percentage of participants in all CoC-funded projects that obtained non-cash mainstream benefits from entry date to program exit.	56 <sup>1</sup>	%	96	%
Total number of homeless households with children per year that are assisted through ESG-funded rapid re-housing projects.	N/A <sup>1</sup>	Households	92	Households

<sup>1</sup>Proposed numeric achievement was first made available through the 2013 NOFA.

### Addressing Obstacles to meeting Underserved Needs

The housing and community development needs assessment, which is a part of the County's Consolidated Plan, provides a basis for identifying obstacles to meeting needs in the community. The 2010-2014 Consolidated Plan identified several obstacles to meeting under-served needs in the community. Franklin County faces the following obstacles in meeting underserved needs:

#### Population:

- Growing gap between the rich and poor
- Multiple obstacles and barriers facing people experiencing poverty
- Personal and social problems taken to the workplace
- More single parent households
- An aging population
- Issues related to immigrant populations
- Government must do a better job informing the public about the criticalness of housing and community development needs
- Service-provider agencies have difficulty hiring staff and keeping qualified employees

#### Housing:

- Tight rental housing market
- Geographic mismatch between job opportunities and housing
- Loss of private subsidized units
- Mind set of "NIMBY" (not in my backyard) increasing
- Developers facing more codes and restriction barriers from local governments

#### Economy:

- Economic opportunity moving to the suburbs
- Slow job growth in the minimum wage and low wage service and retail sectors
- The ramifications of lending institution mergers and acquisitions
- The loss of minority business set asides
- Turnover and job retention problems
- Lack of training opportunities
- Major downturn in Columbus economy
- Lack of skill sets to fill available positions

#### Services:

- Lack of code enforcement staff to protect housing and other community assets
- High crime rate in certain neighborhoods
- The need for transportation and childcare services exceed available resources

## Resources:

- Lack of adequate financial resources and then the competition for those scarce resources
- The uncertainty related to the changes in and the funding of federal programs
- Duplication among programs, projects, and service providers
- Federal regulations that limit the flexibility and discretion of local governments to use funding as they best see fit

County activities that addressed these obstacles in 2013 included: emergency and substantial housing repairs; various infrastructure projects; first-time homebuyer downpayment assistance; business creation and expansion; job creation and retention; supporting workforce development; providing nutritional services; providing foreclosure prevention services; supporting a local tool bank; promoting minority and female owned business opportunities; a healthy stores food imitative; a youth education program; a business loan program; a community gardens program; a pilot demolition program; a business signage project; an employment training program for low-income working women; a housing retention services; disability services; nutritional program services and expanding and disbursing available rental housing.

## Antipoverty Statement

The county's antipoverty strategy focuses on the concept of coordination and linkages. The goal and objectives in the county's 2010-2014 Strategic Plan and 2013 Action Plan describe the role that the county takes in regional efforts to eliminate poverty in our community. Key collaborative strategies included:

- The Columbus and Franklin County Community Action Agency Task Force was created in 2010 by the Franklin County Commissioners and the City of Columbus to lay the groundwork for the development of a new Community Action Agency for the Columbus and Franklin County families, seniors, and children. The agency works with other community organizations in an effort to help those in poverty to become trained, employed and self-sufficient. In August 2010 the Ohio Department of Development granted the Columbus and Franklin County Community Action Agency (CFCCAA) designation status to serve as the community action agency for Home Weatherization Assistance Program in addition to offering other programs to assist Franklin County residents living in poverty.
- Job Leaders, formally Central Ohio Workforce Investment Corporation, is responsible for determining policy on variety of workforce issues, recommending certification of training program providers and overseeing the establishment of the JobSight office center for workforce development.
- Reduce the percentage of Franklin County residents residing below the federal poverty line. Franklin County's First Source Hiring Agreement program requires businesses that receive business incentives to agree to give first consideration to persons referred by the Franklin County Department of Jobs and Family Services for entry level jobs, before opening the process to the public. One agreement was entered into in the 2013 program year and the

company has until 2013 to complete the construction of its business facility (GFT Incorporated.)

- Rebuilding Lives Collaborative which utilizes County gap financing to try and serve as an incentive to locate housing projects adjacent to “high growth job areas.”
- Fostering and maintaining affordable housing by way of the Columbus Area Affordable Housing Task Force (CAAHTF) which the County meets with on a quarterly basis to determine strategies for the preservation of expiring Section 8 projects. Those discussions explore ways in which participating public and private agencies can contribute to the preservation of those housing resources.
- Eliminating barriers to affordable housing. The United Way’s Housing Vision Council (of which the County is a seated member) is a standing committee which meets to discuss and address local affordable housing issues. One of the issues identified by the Council and to which the County responded was the lack of utilities available to unincorporated areas of Franklin County capable of supporting affordable housing initiatives (where capital costs wouldn’t prove so prohibitive as to prevent the placement of such housing.) In response to that need the County refined its CDBG funded Water Quality Partnership program which provides sewer connections and pays for capacity fees.
- Improve public housing and resident initiatives. Franklin County and the Columbus Metropolitan Authority (CMHA) have a cooperative agreement in which each entity collaborates on the creation and implementation of their multi-year action plans.

### **Leveraged Funds**

An important factor Franklin County considers in its decision to allocate public funding is securing as high a percentage as possible in leveraged dollars. In 2013 Franklin County’s infrastructure projects leveraged a 0.72:1 local investment to our CDBG contribution, and our housing programs had a 8.93:1 leverage ratio. The County’s Economic Development Loans leveraged 3.37:1, County Public Services 4.38:1 and the County’s ESG homeless grant leveraged 20.65:1 based on multiple contributions from the Franklin County Commissioners. The County’s total grant expended amount was \$2,267,855 leveraging \$17,648,899 for a ratio of 7.78 to 1. (The County’s leveraging of grant funds are categorized in Table 8.)

In addition, our Continuum of Care project application through the Community Shelter Board resulted in the securing of \$9.1 million in 2013 federal and state funds for a variety of local affordable housing organizations and homeless shelters, cited in the Continuum of Care section above.

Table 8 below details the leveraged funds the County received by project category.

Table 8  
 2013 Grant Funds Expended to Leveraged Funds

<b>Program</b>	<b>Grant Funds Expended</b>	<b>Leveraged Funds</b>	<b>Ratio</b>
Infrastructure	\$444,018	\$319,516	<b>0.72:1</b>
Housing Programs	1,296,154	11,569,272	<b>8.93:1</b>
Economic Development Loans	124,981	420,978	<b>3.37:1</b>
Homeless Programs	219,671	4,537,174	<b>20.65:1</b>
Public Services	183,030	801,959	<b>4.38:1</b>
<b>Total 2013 Leveraging</b>	<b>\$2,267,854*</b>	<b>\$17,648,899</b>	<b>7.78:1</b>

\*Grant funds do not include funding devoted to planning and administration activities which do not prompt leverage.

**Program Income**

In 2013, Franklin County anticipated receiving \$30,000 in CDBG and \$34,480 in HOME program income. In actuality the County received \$24,788.60 (a decrease of \$5,211.40) and \$170,567.96 in HOME program income, (an increase of \$136,087.96.)

The County’s sole program income arrives from residents paying on housing rehabilitations. (It did not generate any additional program income from either: revolving loan funds, float activities, income from the sale of real property, loans outstanding or written off, CDBG acquired property available for sale or lump sum draw payments.)

**Other Actions**

A community needs and strategy assessment was conducted by the County in concert with its 2010-2014 Consolidated plan submission to the Department of Housing & Urban Development. The following is a topical summary of those primary needs and the County’s strategic response to them in 2013.

- People

Aging populations are assisted through the Single Family Rehabilitation, Elderly & Disabled Minor Home Repair and Urgent Repair programs. Further, public services are provided to eligible clients through housing retention, AIDS prevention, and legal foreclosure prevention services; the provision of a tool bank and access to free furniture; nutritional program and assistance to the disabled programming.

Increasing growing immigrant populations are assisted through the ESG shelter grant, micro enterprise loan program and multi-family tax-exempt bond projects. Additionally, the County has offered support letters for multiple senior housing low income tax credit projects.

- Economy

Economic opportunities have been increased through the County's multi-jurisdictional work regarding tax abatements, and the creation and use of enterprise zones and community reinvestment areas.

Further, the County has tried to strategically work with businesses in regards to retail sector job growth, assisted in part, through the CDBG microenterprise and microenterprise retail revolving loan programs, as well as the microenterprise business development program.

- Housing

County efforts in the area of Housing include:

Utilizing gap financing to try and serve as an incentive to locate housing projects adjacent to "high growth job areas."

Overcoming gaps in institutional structures and enhance coordination. The Affordable Housing Trust of Columbus & Franklin County provides technical assistance, training and core operating support to local CDCs and CHDOs. Franklin County contracts with this organization to oversee and disburse HOME CHDO operating reserves.

Evaluate and reduce lead-based paint hazards. Franklin County addresses lead paint hazard issues through both its Single Family Rehabilitation program, implemented by the Mid-Ohio Regional Planning Commission (MORPC) and its Urgent Need repair program administered by the Board of Health. The MORPC program provides substantive repairs to homes, while the Board of Health program addresses single repair items such as furnaces which present a safety occupation issue. Additionally the County has implemented the new 2013 EPA standards for lead based paint identification and abatement.

Ensure compliance with program and comprehensive planning requirements. All HOME assisted rental unit tenant incomes were verified in the spring of 2013. Additionally, Franklin County established a contract with CMHA for site monitoring of all projects included in our portfolio. Site monitoring on all projects required in 2013 has been completed.

Subrecipients of CDBG and HOME funds were monitored and found to be in compliance. These were the Franklin County Public Health, Homes on the Hill, the Economic & Community Development Institute, Rebuilding Together; Columbus Compact; Columbus Housing Partnership, MOBILE, Mid-Ohio Regional Planning Commission, the Community Housing Network, the Community Shelter Board, the Columbus Urban League, Franklin County Sanitary Engineering, Franklin Conservatory, Franklin County Board of Commissioners, the Neighborhood Design Center; the Affordable Housing Trust of Columbus & Franklin County, Lifecare Alliance, the United

Way of Central Ohio, the Columbus Community Development Corporation; Increase, Inc., National Church Residences; East Columbus Development Corporation; Columbus Community Development Corporation; City of Whitehall and the Village of Urbancrest.

### **III. Community Development Block Grant**

#### CDBG Program Summary

The Community Development Block Grant (CDBG) program provides broad funding to entitlement communities (cities and urban counties) and to states/small cities. All funded activities must meet one of the following national objectives for the program:

- benefit low and moderate-income persons,
- prevention or elimination of slums or blight,
- community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community

Types of Assistance: CDBG funds are awarded annually as formula grants to entitlement communities such as Franklin County. Caps placed on the use of these funds includes: Grantees must use at least 70% of CDBG funds for activities that principally benefit low and moderate-income persons (those earning no more than 80% of area median income,) and no more than 15% and 20% of the total annual allocation plus program income can be used on public services and for grant administration, respectively.

CDBG grant fund dollars are very flexible in regards to their potential applications, capable of funding a wide range of activities including: infrastructure, social services, economic development and housing. The uses the County's apply those funds towards are established through its Consolidated Plan process and subsequent RFP allocation process.

Application: Program funds are allocated to units of general local government on the basis of a formula. In order to secure those funds eligible communities must have a current and approved Consolidated Plan that identifies the jurisdiction's priorities and community needs, and includes an annual action plan that describes how the community will use its CDBG funds to address those needs in a specific program year. Both states and entitlement communities establish their own process for the awarding of CDBG funds, typically through a competitive application process. Franklin County uses such a process for infrastructure projects and outside vendors.

In fiscal year 2013 Franklin County had a total CDBG budget of \$3,630,870.91, of which it disbursed \$1,441,571.39. The budgets and expenditures by major category are outlined in Table 9.

Table 9 CDBG Budget and Expenditures

Category	Budgets	Expenditures	Unexpended	% expended
Administration	385,822.07	255,994.96	129,827.11	66%
Public Services	316,515.37	183,030.37	133,485.00	58%
Infrastructure	444,018.32	444,018.32	0.00	100%
Housing/Ownership	2,090,401.48	433,546.13	1,656,855.35	21%
Economic Development	394,113.67	124,981.61	269,132.06	31%
<b>Total CDBG 2013</b>	<b>\$3,630,870.91</b>	<b>1,441,571.39</b>	<b>2,189,299.52</b>	<b>39%</b>

\*Budgets include dollars remaining from previous year contracts.

The following pages offer a breakdown and explanation of Table 9. Citing the specific programs that comprise each category, the expenditures related to it and their specific accomplishments utilizing Franklin County’s CDBG funding for the 2013 program year.

### Public Services

Under public services, Franklin County focuses resources on low and very low-income individuals and families to aggressively target homelessness. In 2013, the County allocated \$316,515.37 to public service projects and expended \$183,030.37 in that same period. Through that expenditure the County successfully assisted 1,606 individuals and 358 households through the following programs.

Retention Specialist: The retention specialist program offers supportive and stabilizing services to tenant households at risk of losing their housing due to either behavior in violation of their lease agreements or peripheral social issues. In 2013, 217 persons were served and stabilized and \$42,652.79 was expended for the program.

Rebuilding Together Central Ohio: The Rebuilding Together Central Ohio program provides a tool bank to homeowners and renters. In 2013, \$30,000 was expended and the program served 348 households.

GreenCorps: The Franklin Park Conservatory will provide a GreenCorps certification training program for youths in the landscaping and horticulture career fields. The county youth will be between the ages of 18 and 21 participating in the local Workforce Investment Act (WIA) Program. The program will be approximately 40 weeks in duration consisting of both classroom instruction and hands on work experience. The hands on experience will occur in such settings as display greenhouses, botanical gardens, community gardens and on the Scotts Miracle-Gro Company Community Garden Campus. At the completion of the program the participants will have passed the State commercial applicators license test, at which point the Conservatory will assist with job placement with the goal of 100% placement. Placement which will include opportunities with the Conservatory as Grounds Technicians in the Horticulture Department, as well as the opportunity to support the training and mentoring of future GreenCorps students. In 2013, the program expended \$50,184.12 and certified six (6) youths.

Dress for Success: The contract with Dress for Success Columbus provides career development services to disadvantaged women. Activities will include providing professional attire, a network of support, and career development tools to help women thrive in work and in life. In 2013, the program assisted 56 women while expending \$12,200.00.

Community Gardens: The community gardens programs assist in the promotion of nutritional education and the construction of community gardens. In 2013, the program expended \$25,000.00 and assisted 1,327 clients.

MOBILE: The Mid-Ohio Board for an Independent Living Environment (MOBILE) provides hearing enhancement equipment and handicapped accessibility services for disabled low-income Franklin County residents. Activities shall include publicizing the program, determining the eligibility of applicants, verifying the needs of eligible applicants, taking receipt of the equipment and being responsible for its delivery and installation, and performing routine follow-up. In 2013, the program expended \$10,993.46 and assisted 10 households.

### **Infrastructure and Public Works**

During the past fiscal year Franklin County budgeted a total of \$444,018.32 in CDBG funds towards public works and infrastructure projects and expended \$444,018.32 in regards to the following projects:

Village of Urbancrest: The street project repaired eight (8) streets involving twenty (20) residential blocks (curb, gutter and pavement removal and replacement); \$300,000.00 was disbursed for the project.

City of Whitehall: The project removed and replaced 3,300 lineal feet of curbs and gutters on Bernhard Road enhancing water run-off on Bernhard Road from Etna to Langley Avenue. expending \$10,512.15.00.

Township Pilot Demolition program: The nuisance properties for this demolition program are selected by Franklin County townships. In 2013, the program demolished 20 properties expending \$100,019.46.

Columbus Compact: This program made public improvements to the Drexel Theater (including HVAC and restroom upgrades) expending \$33,386.71.

### **Housing and Home Ownership**

Franklin County's allocates a portion of its CDBG funds to housing rehabilitation and home ownership projects. In 2013, the County allocated \$2,090,401.48 to those projects and disbursed a total of \$433,546.13. The intention of these programs is to enable low- to moderate-income individuals to remain in their homes, protect their assets and retain their independence. Targeted uses included urgent repairs, handicap accessibility and sewer installation. In 2013, under the housing and homeownership category the County served 150 households.

Urgent repair grants: Funds are set aside each year to enable Franklin County to award grants to homeowners whose residences have experienced a major system failure that is either life threatening or could result in the residence being declared unlivable. Such system failures include malfunctioning furnaces, gas leaks, septic system failures and leaking roofs. In 2013, under the urgent repair program Franklin County was able to assist 57 households through the disbursement of \$177,128.00.

Water Quality: In 2006 thirteen areas within Franklin County were targeted to receive new sanitary sewer infrastructure improvements. Low and moderate income residents in those areas are eligible to receive assistance in the form of forgivable loans to cover the expenses of tapping into the new sewer line and for City or County capacity fees. In 2013, 9 households received assistance with a total expenditure of \$16,202.65.

Home Repair: The Economic & Community Development minor home repair program for seniors and disabled individuals provides up to \$1,000 per household in minor home repairs for eligible homeowners. In 2013, the program expended \$42,180.92 and assisted 56 households.

Downpayment Assistance for first-time homebuyers: The program utilizing CDBG funding provides down-payment assistance for first-time homebuyers. A condition of the receipt of these funds is that qualifying families must attend and successfully complete a homebuyer's certification course. In 2013, 18 households were served and \$117,674.66 was expended (the County also supports the program with a HOME allocation which is reported in the HOME section of this financial report.)

Housing Advisory Board: The Housing Advisory Board (HAB) was established to expand and preserve the supply of affordable housing throughout Franklin County. HAB performs that function by reviewing and making recommendations on bond projects to the Franklin County Board of Commissioners. In the 2013 program year, HAB approved one project for the Franklin Station Apartments: \$8,500,000 in Revenue Bonds; 504-524 West Broad Street, Columbus, Ohio 43215, the new construction of 100 housing units for seniors, homeless and disabled persons in need of supportive services. The Columbus Metropolitan Housing Authority (CMHA) owns the property, located in Franklinton. CMHA is in the process of developing 100 units of multifamily housing units and proposes to lease the properties to a wholly owned subsidiary named Franklin Station, LLC, which will act as property manager for Franklin Station.

## **Economic Development**

Franklin County has made a concerted effort to foster economic growth and encourage stability in the county while at the same time leveraging private investment and creating additional employment opportunities for people of low and moderate income. In fiscal year 2013, we continued to manage the programs outlined below as well as participate in the development of new initiatives targeting several goals in the Consolidated Plan. The focus of these initiatives is as follows:

- Help low-income residents obtain and keep jobs that match their interests and potential.

- Promote thriving small and emerging businesses.
- Create a competitive business environment in low-income and targeted areas that generates employment, business growth and consumer services.

These efforts were part of a broader long-term strategy to attract new businesses to Franklin County and balance economic growth throughout it. Program budgets in this area totaled \$394,113.67 of which \$124,981.61 was disbursed achieving the following accomplishments:

Programs for Job Creation and Retention:

Franklin County Micro-enterprise Loan Funds

The intent of these programs is to benefit low and moderate-income business owners to start and/or expand their small businesses and create economic independence. The loan programs are targeted toward businesses with 1-5 employees that are located in our partner communities. Loans can range between \$1,500 and \$15,000 in CDBG funding. To access CDBG funds, the programs require applicants to participate in a certified business education course culminating in the development of a viable business plan as part of their loan application process.

The Economic & Community Development Institute (ECDI) administers a micro-enterprise loan program on behalf of Franklin County Ohio. In 2013, thirty-three loans for a total expenditure of \$54,543.22 were closed, creating 42 jobs and retaining 57. The program leveraged \$93,147.63 in funding.

Increase, Inc.: Funding under this CDBG allocation is to assist the capacity of potential entrepreneurs by way of training and the development of business plans. In 2013, the program expended \$64,381.74. The program served 19 clients, creating 4 and retaining 2 jobs.

Biz Launch: This program provides working capital to businesses in support of the creation of jobs or services that benefit low and moderate income individuals. In 2013, \$29.35 was expended for a 2012 program year servicing fee.

Healthy Stores Initiative: The program implemented by the United Way of Central Ohio will provide technical assistance to local convenience stores in order to increase access to fresh healthy foods in low-income communities that have limited access to healthy food choices. In 2013, the program expended \$28,964.14 serving eight stores.

**IV. HOME Grant**

HOME Program Summary

HOME is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act, as amended. The HOME program provides formula grants to states and local governments that communities can use—often in partnership with local nonprofit groups—to fund a wide range of

activities that build, acquire, and/or rehabilitate affordable housing for rent or homeownership or provide direct rental assistance to low-income people.

Purpose: HOME is the largest Federal block grant to states and local governments designed exclusively to create affordable housing for low-income households. Each year it allocates approximately \$2 billion among those localities nationwide. The program was designed to reinforce several important values and principles of community development:

- HOME's flexibility empowers people and communities to design and implement strategies tailored to their own needs and priorities.
- HOME's emphasis on consolidated planning expands and strengthens partnerships among all levels of government and the private sector in the development of affordable housing.
- HOME's technical assistance activities and set-aside for qualified community-based nonprofit housing groups builds the capacity of these partners.
- HOME's requirement that participating jurisdictions (PJs) match 25 cents of every dollar in program funds mobilizes community resources in support of affordable housing.

Types of Assistance: HOME funds are awarded annually as formula grants to participating jurisdictions. In turn, each jurisdiction is provided with a line of credit to draw the costs of its program's project activities against. The program's flexibility allows states and local governments to use HOME funds for grants, direct loans, loan guarantees or other forms of credit enhancement, as well as rental assistance and security deposits.

Eligible Activities: Participating jurisdictions may choose among a broad range of eligible activities to expend their HOME funds, from providing home purchase and rehabilitation financing assistance to eligible homeowners and new homebuyers to building or rehabilitating housing for rent or ownership. As well as for "other reasonable and necessary expenses related to the development of non-luxury housing", including site acquisition or improvement, demolition of dilapidated housing to make way for HOME-assisted development, and payment of relocation expenses. Further, up to 10 percent of the PJ's annual allocation may be used for program planning and administration.

Some special conditions apply to the use of HOME funds. For example, PJs must match every dollar of HOME funds used (except for administrative costs) with 25 cents from nonfederal sources, which may include donated materials or labor, the value of donated property, proceeds from bond financing, and other resources. The match requirement may be reduced if the PJ is distressed or has suffered a Presidentially declared disaster. In addition, PJs must reserve at least 15 percent of their allocations to fund housing to be owned, developed, or sponsored by experienced, community-driven nonprofit groups designated as Community Housing Development Organizations (CHDOs). Related to that funding, PJs must ensure that HOME-funded housing units remain affordable in the long term (20 years for new construction of rental housing; or 5-15 years for construction of homeownership housing and housing rehabilitation, depending on the amount of the HOME subsidy). Under the auspices of the program, PJs have two years to commit funds (including reserving funds for CHDOs) and five years to expend those dollars.

Application: Program funds are allocated to units of general local government on the basis of a formula that considers the relative inadequacy of each jurisdiction's housing supply, its incidence of poverty, its fiscal distress, and other factors. In order to participate in the program, jurisdictions must have a current and approved Consolidated Plan, including an action plan that describes how the jurisdiction plans to use its HOME funds. Franklin County's affordable housing efforts are three-pronged: home rehabilitation and repair; home ownership opportunities, and affordable rental housing opportunities. The combination of these three programs addresses the Consolidated Plan goals for affordable housing. In 2013, Franklin County had a total HOME budget of \$1,248,234.46, of which it disbursed \$962,920.32. The budgets and expenditures by major category are shown below (Table 10).

**Table 10 HOME Budget and expenditures**

<b>Category</b>	<b>Budgets</b>	<b>Expenditures</b>	<b>Unexpended</b>	<b>% expended</b>
<b>Administration</b>	212,813.28	100,312.31	112,500.97	47%
<b>Affordable Housing</b>	464,134.10	452,785.01	11,349.09	97%
<b>Single Family Rehab</b>	571,287.08	409,823.00	161,464.08	72%
<b>Total 2013 HOME</b>	<b>1,248,234.46</b>	<b>962,920.32</b>	<b>285,314.14</b>	<b>77%</b>

\*Budgets include dollars remaining from previous year contracts.

The following are specific accomplishments under the HOME program for fiscal year 2013. The format follows Franklin County's HOME major categories as seen above in Table 10.

Housing Staff Expense: Franklin County uses a separate program fund to pay for the management expenses of its affordable housing programs in order to better track the administration costs of these programs. During this fiscal year \$204,813.28 was budgeted for HOME Administration and \$100,312.31 was expended.

Affordable Housing Initiatives: County HOME funds were allocated to the construction of Commons at Livingston II project (\$200,000). The project will provide fifty (50) units of housing for homeless and disabled veterans and other qualifying individuals. Each resident will receive in-depth supportive services by way of a case manager, with veterans receiving additional services through the Veterans Administration.

CHDO Development & Monitoring:

The County allocated \$49,643.99 to the Community Development Collaborative of Greater Columbus (the Collaborative) to provide capacity to Homes on the Hill (HOTH), a County Community Housing Development Organization, which operates in Southern Franklin County. Additionally in 2013; the Collaborative awarded \$39,152.20 to Homes on the Hill in non-County funding. Further, the Collaborative provided technical assistance to and monitoring of HOTH in compliance with HOME program HUD regulations.

## HOTH 2013 Accomplishments

### Hilltop Homes 1

Objectives: Continue to manage and maintain occupancy of 40 rental units.

Outcomes: 39 of 40 units were occupied during 2013.

### Hilltop Homes 2 (collaboration with Oberer Companies and OHFA tax credit proposal)

Objectives: Secure funding to acquire and rehab, or build 40 single family homes.

Outcomes: The project did not receive OHFA tax credits and Oberer decided not to participate in a new application. HOTH will now work with Homeport on applications for funding in 2014.

### Lincoln Green (partnership with Showe Company)

Objectives: Secure funding and begin rehabilitation of 60 units of section 8 housing.

Outcomes: Financing took longer than expected to secure but was closed in November. Construction began in December 2013.

### Wheatland Crossing (partnership with WODA Group and OHFA tax credit proposal)

Objectives: Secure funding to construct 60 new units of housing for seniors.

Outcomes: OHFA tax credits were not awarded for this project. HOTH will continue to seek funding with WODA in 2014.

### Hilltop Christian CDC Properties

Objectives: Acquire six (6) properties currently owned by the Hilltop Christian CDC.

Outcomes: Funding from OHFA was approved; however the project scope was required to be revised. HOTH is currently working with OHFA and the City of Columbus to determine which properties will be acquired.

### Housing Production: Single Family Homeownership, Acquisition, Rehab & Sale

Objectives: Acquire 10 homes, complete rehabs on 5 and sell or rent 5 -7 homes. This project includes housing initiatives Green HOMES and Prairie Homes.

Outcomes: In total, 13 homes were acquired, 2 homes were sold, 1 home was leased, and 10 homes were being developed or were for sale by the end of 2013. An additional six homes were planned to be acquired as part of the Prairie Homes initiative, but were delayed due to the slower than expected foreclosure process.

### Homeowner Rehab (partnership with Rebuilding Together Central Ohio)

Objectives: Provide major rehab work for 8 – 10 owner occupied homes, minor rehab work for 10 – 15 owner occupied homes, construct a playground, and complete general landscaping and neighborhood beautification .

Outcomes: Project events were held on April 27, June 12 and June 20-22. With the help of nearly 750 volunteers, 28 homes received repairs and modifications, a playground was constructed, landscaping and street clean ups were completed, and improvements to the Highland Youth Garden were made.

Single-family rehabilitation program: provides non-interest substantial home rehabilitation loans of up to \$30,000 that are deferred and then forgiven over a 20-year period. Through this program administered by the Mid-Ohio Regional Planning Commission (MORPC) Franklin County targets code compliance, health safety standards and neighborhood revitalization. In 2013, 30 homeowners were assisted and \$571,287.08 was disbursed.

Green Housing Initiative: Homes on the Hill CDC completed the construction of five (5) single family houses and began the construction of two (2) additional units. Two houses required extensive remediation for lead and asbestos and another had extensive termite damage. At March 31, 2014, 2 houses were in contract to sell, and the sales were completed in April 2014. For the 2 houses that were under construction at March 31, 1 was completed in April 2014, and the other will be completed in May 2014. In 2013, the program expended \$206,781.50.

## **V. Emergency Solutions Grant**

### Emergency Solutions Grant Summary

The Emergency Solutions Grant (ESG) program provides homeless persons with basic shelter and essential supportive services. Grantees such as Franklin County receive ESG grants and make those funds available to eligible recipients who actually provide the homeless services. ESG funds can be used for such activities as the rehabilitation or remodeling of a building to be used as a shelter; operations and maintenance of a shelter facility; essential supportive services (i.e., case management, physical and mental health treatment, substance abuse counseling, childcare, etc.); homeless prevention and grant administration. Grantees, except for state governments, must match ESG grant funds dollar for dollar with their own locally generated amounts. These local amounts can come from the grantee or recipient agency or organization; other federal, state and local grants; and from "in-kind" contributions such as the value of a donated building, supplies and equipment, new staff services, and volunteer time.

In 2013, Franklin County's ESG grant amount from HUD was \$131,668. The County, through the Community Shelter Board, awarded this grant to the Columbus YWCA Family Center towards operational costs. The foundation is a 90 day shelter program for homeless families with minor children in their custody. Under the program each family is provided their own apartment unit along with all necessary supplies, as well as a wide range of educational and supportive services including a case manager. The purpose of the program is to stabilize those families' lives and culminate in their securing permanent housing. In 2013, \$219,671.41 was expended and the shelter served 4,936 individuals.

Description of sources and amounts of funds used to meet the program match requirements: The County overwhelmingly matched the \$131,668 ESG allocation with \$3,319,545 in general fund contributions to:

- Emergency Shelter, Prevention & Housing services
- The Rebuilding Lives Initiative (Permanent supportive housing)
- The Community Shelter Board for operating costs

## **VI. Relocation**

Franklin County is required to implement all HUD funded programs and projects in accordance with the provisions of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (Uniform Act). The Uniform Act provides important protections and assistance for people affected by the acquisition, rehabilitation, or demolition of real property for Federal or federally funded projects. This law was enacted by Congress to ensure that individuals whose real property is acquired, or who are forced to relocate as a direct result of projects receiving Federal funds, are treated fairly and are equitably compensated. In 2013, Franklin County had no projects that required the relocation of individuals or families.

## **VII. Self Evaluation**

In 2013, Franklin County effectively used federal and local resources to further its overall consolidated plan goals with respect to community development, housing, homelessness prevention and special needs in an attempt to serve low, very low and moderate-income persons. As indicated in the Consolidated Plan, these goals were to provide affordable housing opportunity, neighborhood and target area revitalization, and economic development and economic opportunity. Further, the County in conjunction with the City of Columbus has completed its process for creating its combined 2010-2014 Consolidated Plan.

The Department of Housing and Urban Development has requested that all grantees implement an evaluation performance plan. Franklin County has already incorporated such a practice by way of its Management for Results process, which is used to evaluate the performance of the Department of Economic Development and Planning, as well as all other Franklin County Agencies. This plan is used to make budgeting decisions rewarding those areas that prove to be the most effective in addressing the needs of the County's low and moderate income clientele while serving the intent and priorities cited in the County's consolidated plan. In addition, per pages 5 - 16 of this document is listed the County's HUD priorities and objectives specifically quantifying the County's progress in regards to housing, economic development, infrastructure and public services. (A copy of the Department of Economic Development and Planning's 2013 business plan has been attached to this plan to provide greater clarification, identifying the steps Franklin County takes to expend its grant funds and the safeguards it incorporates to sure they expended in an efficient and effective manner).

## CITIZENS' COMMENTS ON THE 2013 CAPER

The County conducted two public hearings during the development of its 2013 CAPER on May 22<sup>nd</sup> and 23<sup>rd</sup>, 2014. The County accepted public comments on the document through June 12th, 2014. This summary represents issues, concerns and comments provided either orally or in writing.

### **Housing:**

- I understand we have problem landlords but we also have problem tenants.
- I'm tired of the County picking winners and losers in regards to which neighborhoods get funding; all neighborhoods need funds.
- Columbus continues to be a national leader in assisting the homeless.

### **Government Spending:**

- Government spending should always be used a leverage to attract private dollars.
- Congratulations to the County on its continual A+ credit rating.
- I'm tired of my tax dollars supporting those who refuse to work and help themselves.
- So what does the County have to show for the tax increase they imposed on us?

### **Neighborhoods:**

- The County needs to establish an infrastructure bank for neighborhoods.

### **Economic Development:**

- Why doesn't this report talk about the County's economic development plans for the tax increase?

### **Youth Services:**

- Where are the youth job training programs?

### **Education:**

- Where is the Mayor's leadership in the schools; that's why the levy failed.
- Let the business community take over our schools they know the employees they need.

### **Poverty:**

- Good jobs would cure all the country's ills.
- The wealthy take what they want and the poor are left to starve

### **Arts:**

- Columbus has such a strong and vigorous arts community the County should be actively supporting it with funding.

# Economic Development & Planning

## 2014 Strategic Business Plan

### Franklin County Vision

The vision of the Board of Commissioners' Office is to provide responsible, efficient, and effective government that delivers outstanding public services through innovative leadership and sound fiscal management, and improves the quality of life for the residents of Franklin County.

### Department Mission

**The mission of the Economic Development and Planning Department is to create and retain jobs, to organize growth supporting environmental sustainability, social equity, and economic vitality for all communities in Franklin County.**

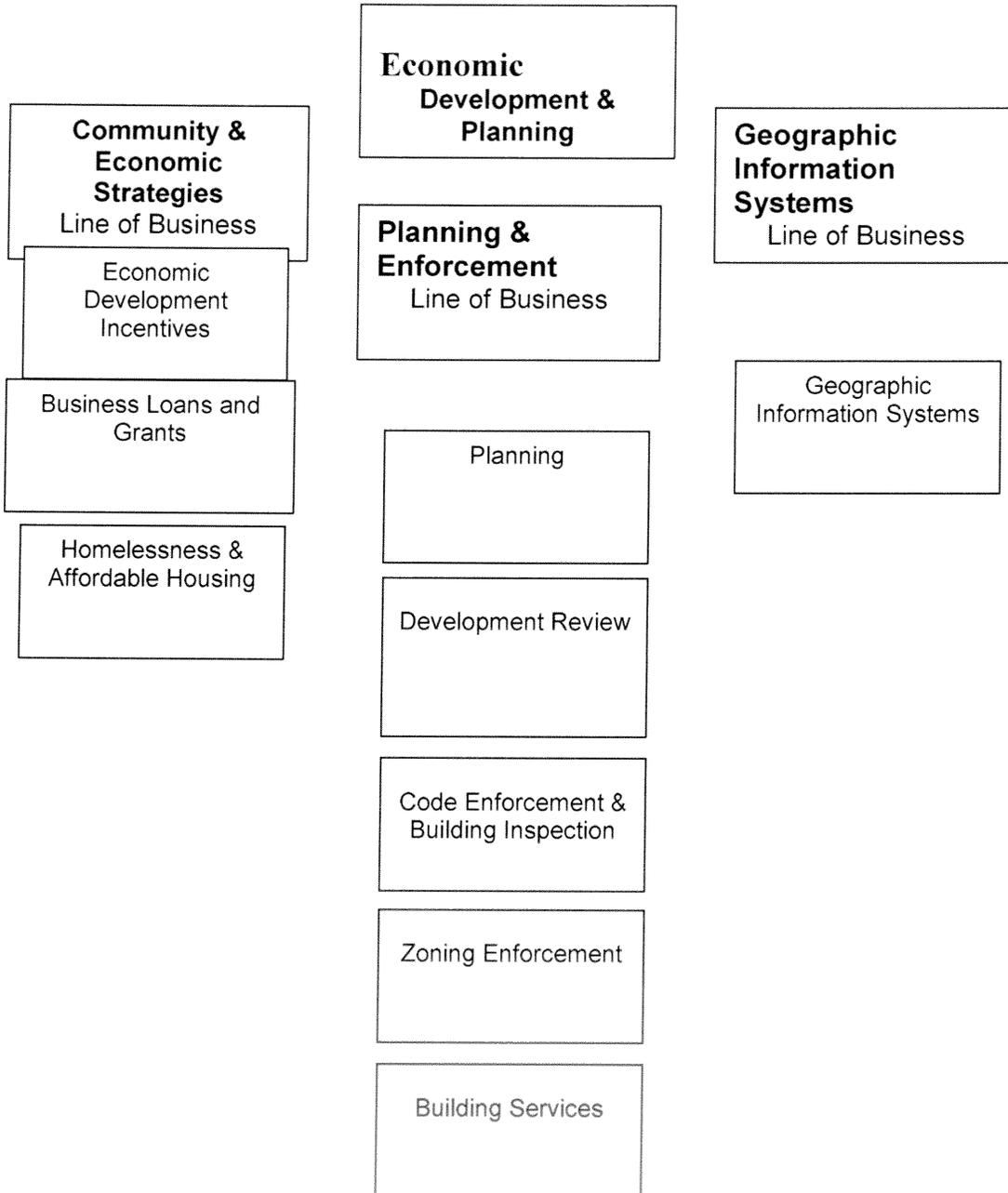
### Agency Goals

1. To create business growth and opportunity, provide inducements in the form of incentives, technical resources and assistance, and create jobs and retail sales tax for sustainable economic development, by targeting retail, green development, high tech, business workforce training, infrastructure, and planning.
2. Continue to maximize the number of households and neighborhoods that are decent, safe, and sanitary within Franklin County.
3. Continue to increase the overall quality of life, the health, and the safety of all areas that we service and provide protection to.

### Agency Strategic Issues

1. Currently dealing with flat and recessive economy.
2. Insufficient funds and resources are available to lend to private sector for micro-enterprise lending and economic development of new businesses.
3. Job creation is slow and job retention is showing net decreases, thus causing increased job loss and unemployed/displaced workers, as well as an increase to the number of families going through foreclosure.
4. Grant funding has been reduced and smaller pools of grant resources are available, causing impacts to services and projects normally funded.
5. Challenges to quality of life, health, and safety, such as violations, could show a rise as individuals try to cut corners on housing construction, thus creating less safe and less energy efficient homes.

**Budgeting for Policy Results and Outcomes Organizational Structure**



**Program Description**

	<b>Program Name</b>	<b>Incentive Program</b>
1	Program Purpose Statement	To provide inducements on behalf of Franklin County, in the form of tax abatement incentives, grants, technical assistance and other targeted inducements that results in leveraging public sector incentives and private investment to provide resources that strengthen the County's local tax base and sustainable economic development.
2	ORC Mandate	N/A
3	Services that Comprise the Program	Community Reinvestment Area (CRA), Enterprise Zone (EZ), Tax Increment Finance (TIF) Micro-Enterprise Loans, Brownfield Assistance, Infrastructure grants, Franklin County Growth Fund and Catalyst Fund.
4	Core Principle	Core Principle – Job Creation and Econ. Dev
5	Linkage to Core Principle	The Incentive Program helps promote job creation and job retention by leveraging public sector incentives and private investment.
6	Responsible Employee(s) and Title(s)	James Schimmer, Director Alex Beres, Senior Program Coordinator

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	Total # of businesses requesting inducements from Franklin County.	Immediate Outcome
PM2	Total # of businesses receiving inducements from Franklin County.	Intermediate Outcome
PM3	Total # of businesses served by Franklin County.	Output
PM4		

**Program Logic Model**

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, calculators, vehicle
B	Program Outputs	Tax incentives and job creation.
C	Participation	Businesses, other government entities, and vendors.
D	Immediate Outcome	Businesses receive inducements such as tax abatements incentives, grants, and/or assistance.
E	Intermediate Outcome	Inducements help promote economic development and job creation.
F	Ultimate Outcome	Job growth and retention, with sustainable economic development.

**Program Description**

	<b>Program Name</b>	<b>Business Loans &amp; Grants Program</b>
1	Program Purpose Statement	To develop strategies that will expand programs and resources for neighborhood infrastructure stabilization, and spur small business growth and ownership through the use of grant resources, on behalf of Franklin County and local jurisdictions to help strengthen these communities.
2	ORC Mandate	N/A
3	Services that Comprise the Program	Infrastructure grants, revolving loan fund projects, support to small businesses, micro-enterprise training and technical assistance.
4	Core Principle	Core Principle – Job Creation and Econ. Dev
5	Linkage to Core Principle	The Business Loans and Grants Program helps promote infrastructure stabilization, micro lending, and business expansion.
6	Responsible Employee(s) and Title(s)	Rollin Seward, Assistant Director Mark Paxson, Grants Administrator

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	Total # of households requesting assistance from Franklin County.	Immediate Outcome
PM2	Total # of households receiving assistance from Franklin County.	Intermediate Outcome
PM3	Total # of households receiving assistance within program standards and costs.	Output
PM4		

**Program Logic Model**

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, calculators, vehicle
B	Program Outputs	Grant resources to facilitate replacement and/or expansion of infrastructure, and micro lending.
C	Participation	Businesses, other government entities, and vendors.
D	Immediate Outcome	Businesses receive grant resources, and/or assistance.
E	Intermediate Outcome	Resources and assistance help support the implementation and improvement of needed projects in the community.
F	Ultimate Outcome	Expand neighborhood infrastructure stabilization and spur small business growth and ownership.

**Program Description**

	<b>Program Name</b>	<b>Homelessness &amp; Affordable Housing Program</b>
1	Program Purpose Statement	To ensure that all residents of Franklin County can live or shelter in decent, safe, and sanitary conditions.
2	ORC Mandate	N/A
3	Services that Comprise the Program	Home ownership through down payment assistance, home rehabilitation, rental assistance, emergency shelter support, and homeless prevention assistance.
4	Core Principle	Core Principle – Strategic Economic Development
5	Linkage to Core Principle	The Homelessness and Affordable Housing Program helps ensure that housing resources are available to reduce the number of displaced individuals, and maximize the number of households in Franklin County.
6	Responsible Employee(s) and Title(s)	Rollin Seward, Assistant Director Mark Paxson, Grants Administrator

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	Total project funding requested from Franklin County.	Immediate Outcome
PM2	Total project funding provided by Franklin County.	Intermediate Outcome
PM3	Total value of projects utilizing funding by Franklin County.	Output
PM4		

**Program Logic Model**

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, calculators, vehicle
B	Program Outputs	Grant resources to maximize and support the number of households in Franklin County.
C	Participation	Residents, businesses, other government entities, and vendors.
D	Immediate Outcome	Grant resources, and/or assistance are made available.
E	Intermediate Outcome	Resources and assistance help support the need for emergency shelter support, homeless prevention assistance, and transition to permanent housing.
F	Ultimate Outcome	Homelessness is reduced and the number of households is expanded through affordable housing.

**Program Description**

	<b>Program Name</b>	<b>Planning Program</b>
1	Program Purpose Statement	To author land use plans for the unincorporated areas of Franklin County, and provide county representation for other planning and land use related projects initiated by other entities and jurisdictions.
2	ORC Mandate	N/A
3	Services that Comprise the Program	Inter-jurisdictional land use planning meetings.
4	Core Principle	Core Principle – Environmental Sustainability and Civic Engagement
5	Linkage to Core Principle	The Planning Program helps ensure that all presented land use plans for the unincorporated areas of Franklin County are completed and adopted with inter-jurisdictional collaboration and legislative.
6	Responsible Employee(s) and Title(s)	Matthew Brown, Planner

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	Land use plans reviewed.	Immediate Outcome
PM2	Land use plans completed and adopted.	Output
PM3		
PM4		

**Program Logic Model**

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, calculators, vehicle
B	Program Outputs	Planning and development of all rezoning and development projects.
C	Participation	Residents, businesses, townships, other government entities, and vendors.
D	Immediate Outcome	Review and present land use plan.
E	Intermediate Outcome	Complete land use plan and present it for adoption.
F	Ultimate Outcome	Adoption of land use plan by legislative authorities.

**Program Description**

	<b>Program Name</b>	<b>Development Review Program</b>
1	Program Purpose Statement	To provide recommendations to appointed boards and commissions on land use change applications, and review incoming land use applications for compliance with adopted regulations.
2	ORC Mandate	N/A
3	Services that Comprise the Program	Administrative reviews and recommendations to ensure compliance with all rules and regulations.
4	Core Principle	Core Principle – Environmental Sustainability and Civic Engagement
5	Linkage to Core Principle	The Development and Review Program helps ensure compliance with all rules and regulations by administrative reviews and recommendations to appointed boards and commissions.
6	Responsible Employee(s) and Title(s)	Matthew Brown, Planner Anthony Hray, Planning Project Coordinator

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	# of apps. received requiring admin review.	Immediate Outcome
PM2	# of admin apps. processed.	Intermediate Outcome
PM3	# of admin apps. w/adequate feedback.	Output
PM4		

**Program Logic Model**

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, calculators, vehicle
B	Program Outputs	Reviews and recommendations of rezoning applications, major subdivisions, lot splits, and annexations, and well as floodplain administration.
C	Participation	Residents, businesses, townships, other government entities, and vendors.
D	Immediate Outcome	Administrative reviews and recommendations of incoming land use applications.
E	Intermediate Outcome	Incoming land use plans presented to appointed boards and commissions.
F	Ultimate Outcome	Applications get processed according to rules and regulations.

**Program Description**

	<b>Program Name</b>	<b>Zoning Enforcement Program</b>
1	Program Purpose Statement	To perform inspections, minimize violations, and increase the overall quality of life, health, and safety of the areas we service.
2	ORC Mandate	N/A
3	Services that Comprise the Program	Zoning inspections, serving notice to violators, working with violators to eliminate violations, up to and including providing court testimony on problem violators.
4	Core Principle	Core Principle – Environmental Sustainability and Civic Engagement
5	Linkage to Core Principle	The Zoning Enforcement Program helps ensure compliance with all rules and regulations adopted by Franklin County Zoning Resolution by providing enforcement to the areas throughout Franklin County.
6	Responsible Employee(s) and Title(s)	Joe Bailey, Code Enforcement Officer Paul Eberts, Code Enforcement Officer

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	# of violations inspected.	Immediate Outcome
PM2	# of violation notices served	Output
PM3		
PM4		

**Program Logic Model**

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, calculators, vehicle
B	Program Outputs	Zoning inspections, serving notice to violators, working with violators to eliminate violations, up to and including providing court testimony on problem violators.
C	Participation	Residents, businesses, townships, other government entities, and vendors.
D	Immediate Outcome	Potential violation is inspected.
E	Intermediate Outcome	Violators are served with notice.
F	Ultimate Outcome	Zoning violation is corrected.

**Program Description**

	<b>Program Name</b>	<b>Building Services Program</b>
1	Program Purpose Statement	To ensure compliance with the Ohio Residential Building Code for 1, 2, and 3 family dwellings, and increase education of contractors and home owners that promote universal design and energy efficiency.
2	ORC Mandate	N/A
3	Services that Comprise the Program	Plans examinations, new construction building inspections, building condemnations, court testimonies, technical assistance, and consultations.
4	Core Principle	Core Principle – Environmental Sustainability and Civic Engagement
5	Linkage to Core Principle	The Building Services Program helps ensure compliance with all rules and regulations of the Ohio Residential Building Code for 1, 2, and 3 family dwellings.
6	Responsible Employee(s) and Title(s)	Rollin Seward, Assistant Director Mike Kelleher, Residential Building Official Rick Erbe, Building Inspector

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	# of building inspections requested.	Immediate Outcome
PM2	# of building inspections completed.	Output
PM3		
PM4		

**Program Logic Model**

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, calculators, vehicle
B	Program Outputs	Building, electrical, heating, inspections are performed, permits are provided, and certificates of occupancy are processed.
C	Participation	Residents, businesses, townships, other government entities, and vendors.
D	Immediate Outcome	Inspections are requested.
E	Intermediate Outcome	Inspections are performed and completed.
F	Ultimate Outcome	Permits and/or certificates of occupancy are processed.

**Program Description**

	<b>Program Name</b>	<b>Geographic Information Systems Program</b>
1	Program Purpose Statement	To provide GIS consultation, website development/management/data analysis services to internal staff, local townships, and other public & private entities, so they can make informed policy and planning recommendations and decisions.
2	ORC Mandate	N/A
3	Services that Comprise the Program	GIS analysis, mapping services, GIS database creation, application development/maintenance, GIS consultation, technical support, internet-accessible GIS services, and website maintenance.
4	Core Principle	Core Principle – Strategic Economic Development
5	Linkage to Core Principle	The GIS Program provides GIS consultation, website development/management/data analysis services to internal staff, local townships, and other public & private entities, so they can make informed policy and planning recommendations and decisions.
6	Responsible Employee(s) and Title(s)	Matt Brown, Planner David Lowell, GIS Manager

**Program Performance Measures**

	<b>Program Name</b>	
	<b>Measure Title</b>	<b>Measure Type</b>
PM1	# of GIS projects reviewed	Immediate Outcome
PM2	# of GIS projects completed	Output
PM3		
PM4		

### Program Logic Model

	<b>Program Name</b>	
A	Program Inputs	PC Workstations, Laptops, plotter, calculators, vehicle
B	Program Outputs	GIS analysis, mapping services, GIS database creation, application development/maintenance, GIS consultation, technical support, internet-accessible GIS services, and website maintenance.
C	Participation	Residents, businesses, townships, other government entities, and vendors.
D	Immediate Outcome	Review GIS project request.
E	Intermediate Outcome	Complete GIS project request.
F	Ultimate Outcome	Recommend completed GIS projects

# Franklin County, Ohio CDBG Target Areas

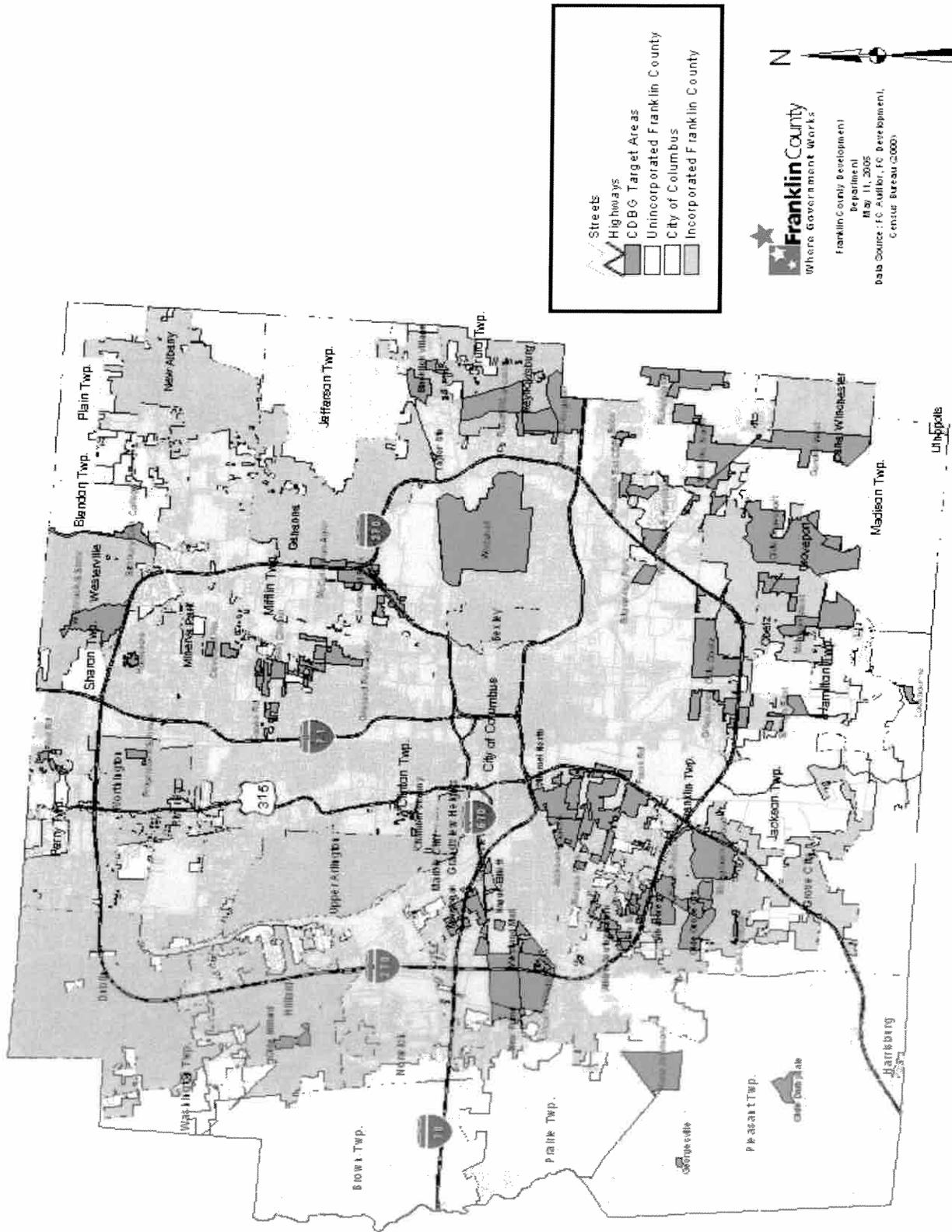


TABLE 12  
FRANKLIN COUNTY CDBG  
ELIGIBLE TARGET AREAS/SLUM & BLIGHT AREAS

Area Name	Census Tract	Block Group	Total Persons	Total LMI Persons	% LMI Concentration (>48.1 per 2000 Census)	COMMENTS	
BLENDON TOWNSHIP							
Cleveland Hts	71.12	1 (pt)	214	111	52.7	Now LMI qualified 71.31= 35.3%, Not qualifie = 38.3% Includes 93.72 4 (pt); 93.73 5(pt); 93.74 2(pt)	
Marcella Drive	71.32	2-3 (pt)	10	10	100		
BRICE	ALL		70	36	51.4		
CANAL WINCHESTER							
Gender West	94.91	1-2 (pt)	126	65	51.6		
CLINTON TOWNSHIP							
Chambers/Kenny	19.00	1, 6 (pt)	122	66	54.1	Block 1 not qualified alone Block -7 Not found Only 2 (pt) would qualify See Also Mifflin Twp. Only 1 (pt) would qualify Expanded to Block 4 Block 4 Not found New	
"	78.30	2 (pt)	55	30	65.2		
Oakland Park-Agler	75.34	1-3 (pt)	244	154	63.1		
"	77.10	1 (pt)	343	191	55.7		
*East Clinton	77.21	1, 4(pt)	670	619	92.39		
"	77.22	1 - 3 (pts)	1791	1020	57		
Cooke Rd	77.40	1(pt)	202	103	51		
FRANKLIN TOWNSHIP							
Stimmel North	51.00	2,3 (pts)	153	103	67.3		New Additions
Westland Mall	82.10	1,2 (pts)	534	312	58.4		New Additions
"	82.30	1,2 (pts)	3,663	2,547	69.5	New Additions	
Mon-e-bak	82.41	2 (pt)	116	80	69	Division of 82.40	
"	82.42	3,4 (pts)	767	409	53.3	Division of 82.40	
Eureka Park	83.22	1,2,5 (pts)	183	135	73.8	Block 1, Not Qualified alor	
Jackson-Hopkins	83.30	1,2 (pts)	1750	1022	58.4	Same	
Frank Rd Area	83.40	1,2,3,9 (pts)	2313	1282	55.4	Block 9, Not Qualified alor	
"	83.80	1,2, 9 (pt)	58	33	56.9	Block 1 qualifies the whole tract	
Alkire-Southwestern	83.50	3 (pt)	51	30	58.8	Also in Jackson Twp.	
"	83.60	2 (pt)	262	127	48.5		
GAHANNA							
McCutcheon-Agler	74.24	2 (pt)	1062	638	60.1		

FRANKLIN COUNTY CDBG

ELIGIBLE TARGET AREAS/SLUM & BLIGHT AREAS

Area Name	Census Tract	Block Group	Total Persons	Total LMI Persons	% LMI Concentration (>48.1 per 2000 Census)	COMMENTS
<b>GROVE CITY</b>						
Olde Grove City	96.00	2 (pt)	1005	489	48.7	No other qualifies, nor tota tract
"	97.11	2-4 (pt)	1690	3267	51.7	Expanded
Home-Parlin Area	97.20	1 (pt)	1304	676	51.8	
Stringtown-Hoover	97.20	9 (pt)	2136	1228	57.5	New
<b>GROVEPORT</b>						
Olde Groveport	94.40	1 - 3 (pts)	3000	1489	49.6	Block 1, Not qualifiable also
"	94.91	2 (pt)	96	48	50	Expanded
<b>HAMILTON TOWNSHIP</b>						
Greenacres/S High	88.25	3-5 (pts)	363	250	68.9	Block 5, Not Qualified also
"	95.90	3 (pt)	1311	743	56.7	New
<b>HILLIARD</b>						
Olde Hilliard	79.21	1 (pt)	1486	747	50.3	New
<b>JACKSON TOWNSHIP</b>						
Big Run South	83.70	2 (pt)	139	95	62.1	New; See Also Urbancrest
Alkire-Southwestern	83.50	3(pt)	135	105	77.8	See also Franklin Twp.
Casa-Ventura	96.00	4 (pt)	103	50	48.5	
<b>JEFFERSON TOWNSHIP</b>						
Taylor Stn	92.10	1 (pt)	14	10	71.4	
Blacklick Village	73.92	2 (pt)	394	283	71.8	New
<b>LOCKBOURNE</b>						
	95.90	4 (pt)	280	173	61.8	
<b>MADISON TOWNSHIP</b>						
Blacklick Est CDP	94.10	1(pt)	1290	652	50.5	See also Truro Twp.
Edgewater Park	94.30	1 (pt)	109	Blighted		
<b>Winchester-S</b>						
Hamilton	94.30	4 (pt)	235	125	53.2	
Madison West	94.92	1-2 (pt)	270	166	61.5	New
Madison North	94.92	4-6(pt)	666	326	48.9	New
<b>MIFFLIN TOWNSHIP</b>						
Leonard Park	74.24	1,2,6 (pt)	364	248	68.1	Expanded
"	74.10	1 (pt)	0	0	0	New
"	75.50	1 (pt)	98	54	55.1	New
Oakland-Agler	75.34	1-3 (pt)	867	598	69	Block 1-2, Not qualified alone

FRANKLIN COUNTY CDBG

ELIGIBLE TARGET AREAS/SLUM & BLIGHT AREAS

Area Name	Census Tract	Block Group	Total Persons	Total LMI Persons	% LMI Concentration (>48.1 per 2000 Census)	COMMENTS
"	75.20	1,2,3 (pt)	1257	863	68.7	See Also Clinton Twp. New
"	8.20	1-2(pt)	82	66	80.5	
NEW ROME OBETZ	ALL		60	45	75	Includes 81.10 5 (pt); 81.32 3-4 (pt); 81.41 2(pt)
Olde Obetz	95.20	1-2 (pt)	1348	683	50.7	Couldn't qualify Block 3 44.5%
PICKERINGTON PLEASANT TOWNSHIP	94.92	6(pt)	55	32	58.2	New
Georgesville	98.00	1(pt)		Blighted		
Olde Darbydale	98.00	1(pt)		Blighted		
PRAIRIE TOWNSHIP						
Lincoln Village CDP	ALL		9482	5246	55.3	All tracts below included
"	81.10	1-5 (pt)	4498	2543	56.5	
"	81.20	1-4 (pt)	3751	2181	58.1	
"	81.41	2(pt)	1233	522	37.8	
Kunz-Johnson	81.61	2(pt)	245	133	54.3	
Westland Mall	82.30	1,2 (pt)	630	464	73.7	New Expanded
REYNOLDSBURG Rosehill/Livingston	93.62	2 (pt)	237	129	54.4	
"	93.71	1 (pt)	8	0	0	Logical merge with 93.83
"	93.83	1-2 (pt)	2328	1160	49.8	New
"	93.86	1(pt)	2980	1668	56	New
REYNOLDSBURG Rosehill/Lancaster	93.81	1,2,4 (pt)	5183	2658	51.3	New
SHARON TOWNSHIP						
Homeacre	69.45	1 (pt)	81	40	49.4	
"	69.44	1 (pt)				Logical merge with 69.45
Flint Rd	70.40	2 (pt)	115	64	55.7	New
TRURO TWP						
Blacklick Est CDP	93.73	2(pt)	1044	527	50.47	See also Madison Twp
URBANCREST	96.00	1 (pt)	868	635	73.2	
"	83.70	2 (pt)	139	95	62.1	New; See Also Urbancrest
VALLEYVIEW						
Hague-Elliott	82.10	1 (pt)	76	42	55.3	
WESTERVILLE						
W Shrock- S State	71.14	1	272	181	66.5	New

FRANKLIN COUNTY CDBG

ELIGIBLE TARGET AREAS/SLUM & BLIGHT AREAS

Area Name	Census Tract	Block Group	Total Persons	Total LMI Persons	% LMI Concentration (>48.1 per 2000 Census)	COMMENTS
"	70.10	2,4 (pt)	1627	895	55	
Sunbury-Central College	71.96	1-2 (pt)	133	65	48.9	New
WHITEHALL	ALL	19,201	11,896		61.9	Expanded
Midcliff-Woodcliff	Include:					
Eastway Ct	27.70	1 (pt)		93.11	1-3 (pt)	
Broad St-Poth Rd	74.10	1 (pt)		93.21	1-2 (pt)	
Whitehall Woods	92.10	1-2 (pt)		93.31	1 (pt)	
Broad-Powell	92.20	1-2 (pt)		93.4	1-2 (pt)	
Langley-Main St	92.30	1-4 (pt)		93.5	1-2 (pt)	
"	92.40	1-3 (pt)		93.61	3 (pt)	
Main St-Kae Ave	92.50	1-3 (pt)				
WORTHINGTON						
Proprietors/Schrock	67.22	2 (pt)	854	414	48.5	New



**PART I: SUMMARY OF CDBG RESOURCES**

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	0.00
02 ENTITLEMENT GRANT	1,906,140.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	113,878.06
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	2,020,018.06

**PART II: SUMMARY OF CDBG EXPENDITURES**

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,185,576.43
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,185,576.43
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	255,994.96
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	0.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	1,441,571.39
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	578,446.67

**PART III: LOWMOD BENEFIT THIS REPORTING PERIOD**

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	0.00
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,085,556.97
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,085,556.97
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	91.56%

**LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS**

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: PY: PY:
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	0.00
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	0.00
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	0.00%

**PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS**

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	183,030.37
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	183,030.37
32 ENTITLEMENT GRANT	1,906,140.00
33 PRIOR YEAR PROGRAM INCOME	0.00
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	1,906,140.00
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	9.60%

**PART V: PLANNING AND ADMINISTRATION (PA) CAP**

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	255,994.96
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	255,994.96
42 ENTITLEMENT GRANT	1,906,140.00
43 CURRENT YEAR PROGRAM INCOME	113,878.06
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,020,018.06
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	12.67%



**LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17**

Report returned no data.

**LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18**

Report returned no data.

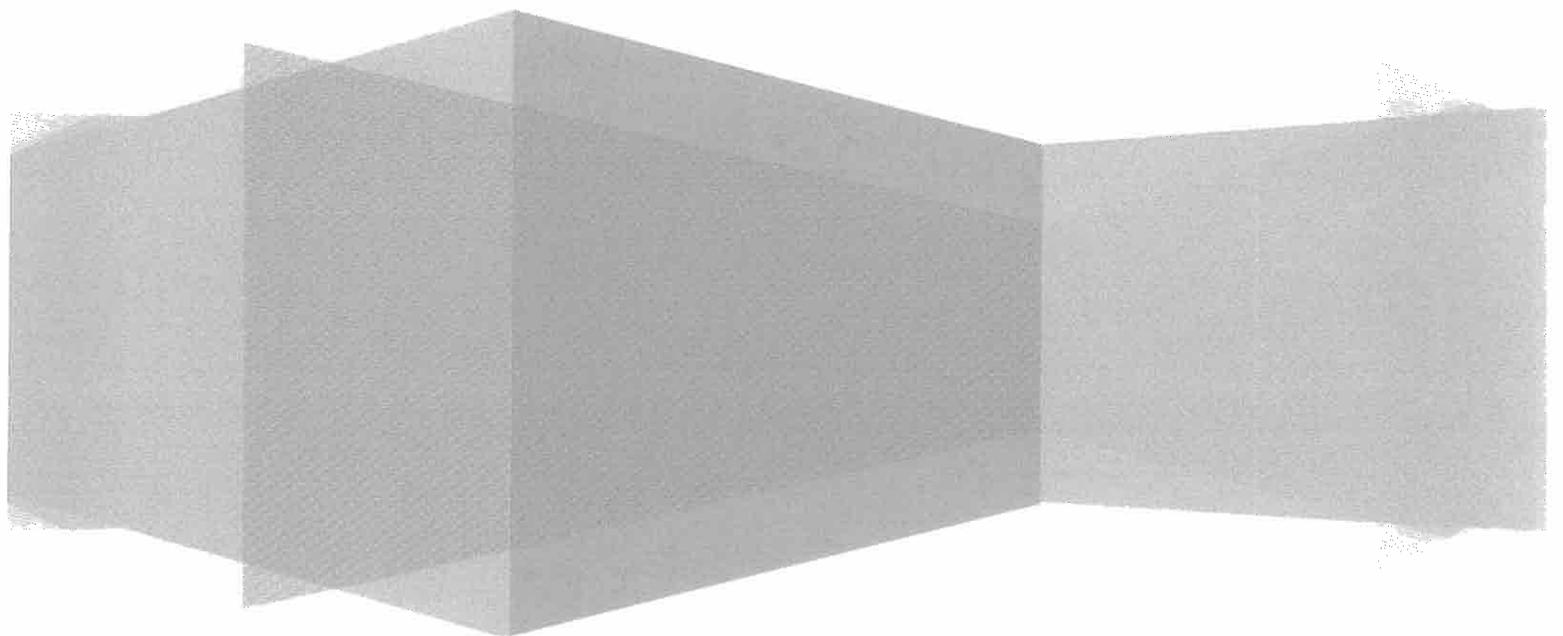
**LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19**

Plan Year	IDIS Project	IDIS Activity	Voucher Number	Activity Name	Matrix Code	National Objective	Drawn Amount
2006	20	1051	5608288	WATER QUALITY PARTNERSHIPS - SEWER TAPS	14A	LMH	\$6,760.00
2006	20	1051	5624945	WATER QUALITY PARTNERSHIPS - SEWER TAPS	14A	LMH	\$4,491.75
2006	20	1051	5626680	WATER QUALITY PARTNERSHIPS - SEWER TAPS	14A	LMH	\$1,142.00
2006	20	1051	5648010	WATER QUALITY PARTNERSHIPS - SEWER TAPS	14A	LMH	\$3,808.90
2010	8	1257	5571060	CCDC - Biz Launch Fund	18A	LMJ	\$29.35
2011	2	1306	5571060	MORPC Urgent Repair	14A	LMH	\$8,073.10
2011	2	1306	5608288	MORPC Urgent Repair	14A	LMH	\$121,208.33
2011	26	1330	5571060	Columbus Compact Signage	14E	LMA	\$33,486.71
2012	3	1342	5571060	ECDI - Elderly Senior Home Repair	14A	LMH	\$20,980.65
2012	3	1342	5608288	ECDI - Elderly Senior Home Repair	14A	LMH	\$17,714.27
2012	3	1342	5624945	ECDI - Elderly Senior Home Repair	14A	LMH	\$1,937.00
2012	3	1342	5648010	ECDI - Elderly Senior Home Repair	14A	LMH	\$1,549.00
2012	5	1338	5608288	ECDI Microenterprise Loans	18C	LMJ	\$54,543.22
2012	6	1343	5571060	CHN Retention Specialist	03T	LMC	\$12,500.00
2012	6	1343	5608288	CHN Retention Specialist	03T	LMC	\$15,277.79
2012	7	1344	5639314	MOBILE	05B	LMC	\$10,993.46
2012	15	1352	5571060	Increase CDC - Business Development	18C	LMC	\$11,468.36
2012	15	1352	5608288	Increase CDC - Business Development	18C	LMC	\$23,072.74
2012	22	1359	5571060	GreenCorps	05H	LMC	\$6,249.61
2012	28	1365	5571060	City of Whitehall - street resurfacing	03K	LMA	\$10,512.15
2013	2	1385	5608330	MORPC Urgent repair program	14A	LMH	\$12,768.56
2013	2	1385	5639314	MORPC Urgent repair program	14A	LMH	\$78,275.59
2013	2	1385	5648010	MORPC Urgent repair program	14A	LMH	\$37,162.32
2013	4	1387	5624945	Homebuyers Downpayment Asst. CHP	13	LMH	\$76,507.55
2013	4	1387	5648010	Homebuyers Downpayment Asst. CHP	13	LMH	\$41,167.11
2013	6	1391	5624945	Housing retention - CHN	03T	LMC	\$10,009.43
2013	6	1391	5648010	Housing retention - CHN	03T	LMC	\$4,865.57
2013	14	1389	5608330	Microenterprise Dev. program - Increase	18C	LMC	\$2,277.84
2013	14	1389	5624945	Microenterprise Dev. program - Increase	18C	LMC	\$27,562.80
2013	15	1393	5648010	Rebuilding Together - Tool Library	05R	LMH	\$30,000.00
2013	17	1394	5624945	GreenCorps	05H	LMC	\$24,871.97
2013	17	1394	5639380	GreenCorps	05H	LMC	\$14,289.71
2013	17	1394	5648010	GreenCorps	05H	LMC	\$4,772.83
2013	18	1396	5608330	Community Gardens - Franklin Park Con.	05	LMC	\$25,000.00
2013	20	1399	5626680	Dress for Success	05H	LMC	\$6,000.00
2013	20	1399	5639314	Dress for Success	05H	LMC	\$3,800.00
2013	20	1399	5648010	Dress for Success	05H	LMC	\$2,400.00
2013	22	1390	5624945	Fresh foods initiative - United way	18C	LMCMC	\$6,027.30
2013	26	1397	5639314	Individual Development Account	05	LMC	\$12,000.00
2013	28	1401	5639360	Village of Urbancrest - street improvements	03K	LMC	\$300,000.00
<b>Total</b>							<b>\$1,085,556.97</b>

# Section 3 Plan

Franklin County Economic Development and  
Planning

Updated July 2012



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### **Exhibits/Forms**

- Exhibit 1: Certification for Business Concerns Seeking Section 3 Preference
- Exhibit 2: Certification of Residents Seeking Preference in Training and Employment
- Exhibit 3: Section 3 Employee Form Filing Instructions
- Exhibit 4: Section 3 Flow Chart

### **General Policy Statement**

It is the policy of the Board of Commissioners of Franklin County, Ohio, to require its contractors to provide equal employment opportunity to all employees and applicants for employment without regard to race, color, religion, sex, national origin, disability, veteran's or marital status, or economic status and to take affirmative action to ensure that both job applicants and existing employees are given fair and equal treatment.

The Franklin County Economic Development and Planning Department (EDP) implements this policy through the awarding of contracts to contractors, vendors, and suppliers, to create employment and business opportunities for qualified low- and very low-income persons residing in Franklin County.

The policy shall result in a reasonable level of success in the recruitment, employment, and utilization of low-income County residents and other eligible persons and businesses by Section 3-eligible contractors working on contracts partially or wholly funded with United States Department of Housing and Urban Development (HUD) monies.

The Franklin County Economic Development and Planning Department and its subrecipients shall examine and consider a contractor's or vendor's potential for success by providing employment and business opportunities to low-income County residents prior to acting on any proposed contract award.

### **Section 3 Purpose**

Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701u) (Section 3) requires Franklin County to ensure that employment and other economic and business opportunities generated by the Department of Housing and Urban Development (HUD) financial assistance, to the greatest extent feasible, are directed to public housing residents and other low-income persons, particularly recipients of government housing assistance, and business concerns that provide economic opportunities to low- and very-low income persons.

### **Section 3 Contracting Policy and Procedure**

The Franklin County Economic Development and Planning Department (EDP) will incorporate Section 3 in its existing Procurement Policy and adopt this Section 3 Plan in all procurements generated for use with HUD funding. This policy and procedure contains goal requirements for awarding contracts to Section 3 Business Concerns

All contractors/businesses seeking Section 3 preference must, before submitting bids/proposals to EDP and/or its subrecipients, be required to complete certifications, as appropriate, as acknowledgement of the Section 3 contracting and employment provisions required by this section (documentation that is party to the County's Master Construction Manual process.) Such certifications shall be adequately supported with appropriate documentation as referenced in the "Certification for Business Concerns Seeking Section 3 Preference" and "Certification of Residents Seeking Preference in Training and Employment" forms.

The Section 3 Contract Clause specifies the requirements for contractors hired for Section 3 covered projects. The Section 3 Clause must be included in all Section 3 covered projects. The Section 3 Contract Clause is included in Exhibit 3.

### **Section 3 Employment & Training Goals**

It is the policy of EDP to utilize neighborhood residents and other Section 3 eligible persons and businesses in contracts partially or wholly funded with HUD monies. EDP has established employment and training goals that contractors and subcontractors should meet in order to comply with Section 3 requirements. (Reference 24 CFR 135.30 – Numerical goal for meeting the greatest extent feasible requirement). The numerical goal is:

- Thirty percent (30%) of the aggregate number of new hires in any fiscal year.
- Ten percent (10%) of the total dollar amount of all covered construction contracts shall be awarded to Section 3 business concerns; and
- Three percent (3%) of the total dollar amount of all covered non-construction contracts shall be awarded to Section 3 business concerns

It is the responsibility of contractors, vendors and suppliers to implement progressive efforts to attain Section 3 compliance. Any contractor that does not meet the Section 3 numerical goals must demonstrate in writing why meeting the goals were not feasible. All contractors submitting bids or proposals to EDP and/or its subrecipients are required to certify that they comply with the requirements of Section 3.

### **Section 3 Covered Projects**

Projects are evaluated by the County to determine if they meet the following Section 3 thresholds and are therefore Section 3 Covered Projects:

- The project is using CPD funds for construction, rehabilitation, demolition or lead-based paint hazard reduction or other public construction;
- The total amount of CPD funding a subrecipient receives exceeds \$200,000; and
- Contractor/subcontractor receives CPD funds in excess of \$100,000 to complete projects involving housing construction or rehabilitation (including demolition and lead-based paint hazard reduction) or other public construction.
- If the contractor/subcontractor has a need to hire additional persons or to subcontract portions of the work to another business to complete the Section 3 qualified project, Section 3 requires them to first direct their newly created employment and/or subcontracting opportunities to Section 3 residents and business concerns.

*The Section 3 regulations should not be construed to mean that recipients or contractors are **required** to hire Section 3 residents or award contracts to Section 3 businesses other than what is needed to complete the covered project. If the expenditure of (a Section 3 qualified project) funding does not result in new employment, contracting or training opportunities, the requirements of Section 3 have not been triggered.*

**Resident Hiring Requirements**

EDP has adopted the following scale for resident hiring that is to be used on all construction contracts, service contracts and professional service contracts that contain a labor component:

<b>TOTAL LABOR DOLLARS USE TOTAL CONTRACT AMOUNT FOR SERVICE CONTRACTS</b>	<b>RESIDENT AS A % OF TOTAL LABOR DOLLARS</b>
Labor dollars \$25,000 but less than \$100,000	10% of the labor dollars
\$100,000, but less than \$200,000	9% of the labor dollars
At least \$200,000, but less than \$300,000	8% of the labor dollars
At least \$300,000, but less than \$400,000	7% of the labor dollars
At least \$400,000, but less than \$500,000	6% of the labor dollars
At least \$500,000, but less than \$1 million	5% of the labor dollars
At least \$1 million, but less than \$2 million	4% of the labor dollars
At least \$2 million, but less than \$4 million	3% of the labor dollars
At least \$4 million, but less than \$7 million	2% of the labor dollars
\$7 million or more	1 – ½% of the labor dollars

With this sliding formula, it is expected that an appropriate number of neighborhood residents with particular qualifications or willingness to begin unskilled labor will be able to participate in contracted labor efforts. These requirements may be met in the following ways:

1. Subcontract or joint venture with a resident owned business. The business must be 51% or more owned by low-income residents, or subcontract/joint venture with a business that employs full-time, 30% or more low and very-income individuals within Franklin County, or
2. Direct hiring of low and very low-income neighborhood residents based on the Resident Hiring Scale, or
3. Contractor incurs the cost of providing skilled training for residents in an amount commensurate with the sliding scale set forth in the Resident Hiring Scale.

### **Assisting Subrecipients and Contractors to Achieve Section 3 Goal Hiring and Contracting Goals**

EDP will assist contractors with little or no experience in achieving Section 3 hiring and contracting goals by:

- Providing subrecipients with Section 3 compliance information, including information for residents and information to be included in construction bid packets:
  - Section 3 Reporting Requirements – Technical Assistance on Form HUD-60002
  - “Section 3 Economic Opportunity” Brochure
  - Section 3 Business Certification Form
  - “Examples of Efforts to Award Contracts to Section 3 Business Concerns”
- Conducting a pre-bid conference with subrecipients to review Section 3 compliance for covered contracts.
- Conducting a pre-construction conference with each covered contractor to review the requirements in this Section 3 Plan.
  - Providing the contractor with a list of Section 3 business concerns interested and qualified for construction projects.
  - Informing the contractor of known issues that might prevent Section 3 residents from performing job related duties.
  - Reviewing the new hire clause with contractors and subcontractors to ensure that the requirement is understood.

It is not intended for contractors and subcontractors to terminate existing employees, but to make every effort feasible to employ Section 3 program participants before any other person, when hiring additional employees needed to complete proposed work to be performed with HUD (federal) funds.

### **Section 3 Business Certification**

Any business seeking Section 3 preference in the awarding of contracts or purchase agreements with Franklin County shall complete the “Certification for Business Concerns Seeking Section 3 Preference in Contracting and Demonstration of Capability” form found in Exhibit 1, and can also be obtained from the EDP Section 3 Coordinator. The business seeking Section 3 preference must be able to provide adequate documentation as evidence of eligibility for preference under the Section 3 Program.

Certifications for Section 3 preference for business concerns must be submitted to the Franklin County Section 3 Coordinator prior to the submission of bids for approval. If the Section 3 Coordinator previously approved the business concern to be Section 3 certified, then the certification can be submitted along with the bid.

### **Resident Owned Business Contracting**

EDP and its subrecipients will consider utilizing the alternative procurement process (Section 24 CFR Part 963) when contracting with businesses owned in substantial part by low-income Franklin County residents for housing services, supplies or construction.

To be eligible for the alternative procurement process, a business must submit evidence to EDP that shows how each of the following requirements has been met:

- Submit certified copies of any city, state, or county municipal licenses that support the type of business activity for which it performs.

- Disclose to the Section 3 Coordinator all owners of the business as well as each owner's percentage of ownership and names of those individuals who possess the authority to make decisions on a day-to-day basis.
- Submit evidence that the business is able to perform successfully under the terms and conditions of the proposed contract.
- Provide a certified listing of all contracts awarded and received under the alternative procurement process within a two-year period. If a resident-owned business has received under this alternative contracting procedure one or more contracts (within the two-year period) with total combined dollars of \$1,000,000, then it is no longer eligible for additional contracts under the alternative process until the 2-year period is past.

This alternative procurement policy is based upon the procurement procedure and policy set forth in HUD's regulations at 24 CFR, Part 85.36, but applies only to solicitations of resident-owned businesses. EDP will utilize the alternative contracting procedure for resident-owned businesses only in cases where it is considered to be in the best business, economic and service interests of the authority.

### **Efforts to Award Contract Opportunities to Section 3 Business Concerns**

EDP will use the following methods to notify and contract with Section 3 business concerns when contracting opportunities exist:

- Advertise contracting opportunities over \$100,000 via newspaper, mailings, posting notices that provide general information about the work to be contracted and where to obtain additional information.
- Provide written notice of contracting opportunities to all known Section 3 business concerns. The written notice will be provided in sufficient time to enable business concerns the opportunity to respond to the bid invitation.
- Coordinate pre-bid meetings at which the Section 3 requirements in this plan will be reviewed with subrecipients.
- Coordinate with business assistance agencies, Minority and Women's Business Enterprise (M/WBE) contractor associations and community organizations to inform them of contracting opportunities and to request their assistance in identifying Section 3 businesses.
- Establish relationships with the Small Business Administration (SBA), Minority and Women's Business Enterprise M/WBE association, Community Development Corporations, and other sources as necessary to assist EDP with educating and mentoring residents with a desire to start their own businesses.
- Seek out referral sources in order to ensure job readiness for Section 3 residents through on-the-job training and mentoring to obtain necessary skills that will transfer into the external labor market.
- Develop resources or seek out training to assist residents interested in starting their own businesses to learn to prepare contracts, prepare taxes, and obtain licenses, bonding, and insurance.

### **Section 3 Residents Recruitment, Training, and Employment Goals**

EDP will develop resources to provide training and employment opportunities to Section 3 program participants by implementing the following:

- Training opportunities for construction related work will be distributed to EDP subrecipients and contractors for dissemination to the public.
- Neighborhood organizations will be contacted to request their assistance in notifying residents of the available training and employment opportunities.
- A database will be developed of eligible qualified Section 3 Business concerns to contact with respect to the availability of contract opportunities.

### **Contractor's Requirements in Employing Section 3 participants**

Under EDP's Section 3 Program, contractors and subcontractors are required to:

- Provide employment opportunities to Section 3 residents/participants in the priority order listed below:
  - **Category 1:** Business concerns that are 51% or more owned by residents of the housing development for which the work is performed, or whose full-time, permanent workforce includes 30% of these persons as employees.
  - **Category 2:** Business concerns that are 51% or more owned by residents of the Columbus Metropolitan Housing Authority's public housing development(s) other than the housing development where the work is to be performed; or whose full-time permanent workforce includes 30% of these persons as employees.
  - **Category 3:** Business concerns that are 51% or more owned by a Section 3 resident(s), or whose permanent, full-time workforce includes no less than 30% Section 3 residents; or that subcontract in excess of 25% of the total amount of subcontracts to Section 3 business concerns.
- Upon the awarding of contracts, the contractor must, prior to beginning work, inform Section 3 participants of the development at which the work will be performed, by providing the following:
  - names of the Section 3 business concerns to be utilized,
  - estimates of the number of employees to be utilized for contract,
  - projected number of available positions, to include job descriptions and wage rates (construction wages consistent with Davis Bacon),
  - efforts that will be utilized to seek Section 3 participants.
- Submit a list of core employees (including administrative, clerical, planning and other positions pertinent to the construction trades) at the time of contract award.
- Immediately notify EDP or the subrecipient of any problems experienced with hiring and/or employing Section 3 residents.

### **Enforcement of Section 3 Requirements for Contractors**

Contracts covered by Section 3 will have final contract payments retained until final Section 3 reports are submitted to the Section 3 Coordinator.

### **Internal Section 3 Complaint Procedure**

Information on Section 3 requirements is disseminated throughout the community to inform residents of these hiring and training goals. In an effort to resolve complaints generated due to non-compliance through an internal process, EDP encourages submittal of such complaints to its Section 3 Coordinator as follows:

- Complaints of non-compliance should be filed in writing and must contain the name of the complainant and brief description of the alleged violation of 24 CFR 135.
- Complaints must be filed within thirty (30) calendar days after the complainant becomes aware of the alleged violation.
- An investigation will be conducted if complaint is found to be valid. The Section 3 Coordinator will conduct an informal, but thorough investigation affording all interested parties, if any, an opportunity to submit testimony and/or evidence pertinent to the complaint.

If complainants wish to have their concerns considered outside of Franklin County, a complaint may be filed with the:

Assistant Secretary for Fair Housing and Equal Opportunity  
United States Department of Housing and Urban Development  
451 Seventh Street, SW  
Washington, DC 20410

The complaint must be received not later than 180 days from the date of the action or omission upon which the complaint is based, unless the time for filing is extended by the Assistant Secretary for good cause shown.

### **Section 3 Clause**

All Section 3 covered contracts shall include the following clause (referred to as the Section 3 Clause):

A. The work to be performed under this contract is subject to the requirements of section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u (Section 3). The purpose of Section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by Section 3, shall, to the greatest extent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implement Section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.

C. The contractor agrees to send to each labor organization or representative or workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this Section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the Section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

D. The contractor agrees to include this Section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriate action, as provided in an applicable provision of the subcontract or in this Section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of this contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with Section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract. Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contracts and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of Section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

## **Definitions**

**Applicant** – Any entity which makes an application for Section 3 covered assistance, and includes, but is not limited to, any State, unit of local government, public housing agency or other public body, public or private nonprofit organization, private agency or institution, mortgagor, developer, limited dividend sponsor, builder, property manager, community housing development organization, resident management corporation, resident council, or cooperative association.

**Business Concern** – a business entity formed in accordance with State law, and which is licensed under State, county or municipal law to engage in the type of business activity for which it was formed.

**Contractor** - any entity which contracts to perform work generated the expenditure of Section 3 covered assistance, or for work in connection with a Section 3 covered project.

**Employment Opportunities Generated by Section 3 Covered Assistance** – all employment opportunities generated by the expenditure of Section 3 covered public assistance (i.e., operating assistance, development assistance and modernization assistance, (as described in Section 135.3 (a) (1)). With respect to Section 3 covered housing and community development assistance, this term means all employment opportunities arising in connection with Section 3 covered projects (as described in Section 135.3 (a) (2)), including management and administrative jobs. Management and administrative jobs include architectural, engineering or related professional services required to prepare plans, drawings, specifications, or work write-ups; and jobs directly related to administrative support of these activities, e.g., construction manager, relocation specialist, payroll clerk, etc.

**Housing Development** – low-income housing owned, developed, or operated by public housing agencies in accordance with HUD's public housing program regulations codified in 24 CFR Chapter IX.

**Low-income person** – families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low-income families.

**Metropolitan Area** – a metropolitan statistical area (MSA), as established by the Office of Management and Budget.

**New Hires** – full-time employees for permanent, temporary or seasonal employment opportunities.

**Recipient** – any entity which receives Section 3 covered assistance, directly from HUD or from another recipient and includes, but is not limited to, any State unit of local government, PHA, or other public body, public or private nonprofit organization, private agency or institution, mortgagor, developer, limited dividend sponsor, builder, property manager, community housing development organization, resident management corporation, resident council, or cooperative association. Recipient also includes any successor, assignee or transferee of any such entity, but does not include any ultimate beneficiary under the HUD program to which Section 3 applies and does not include contractors.

**Section 3** – Section 3 of the Housing and Urban Development Act of 1968, as amended (12 U.S.C. 1701u).

**Section 3 Business Concern** – a business concern,

- 1) That is 51 percent or more owned by Section 3 resident; or
- 2) Whose permanent, full-time employees include persons, at least 30 percent of whom are currently Section 3 residents, or within three years of the date of first employment with the business concern were Section 3 residents; or
- 3) That provides evidence of a commitment to subcontract in excess of 25 percent of the dollar award of all subcontractors to be awarded to business concerns that meet the qualifications set forth in paragraphs 1 or 2 above.

**Section 3 Covered Assistance** –

- 1) public housing development assistance provided pursuant to Section 5 of the 1937 Act;
- 2) public housing operating assistance provided pursuant to Section 9 of the 1937 Act;
- 3) public housing modernization assistance provided pursuant to Section 14 of the 1937 Act;
- 4) assistance provided under any HUD housing or community development program that is expended for work arising in connection with housing rehabilitation, construction, or other public construction project (which includes other buildings or improvements, regardless of ownership).

**Section 3 Clause** – the contract provisions set forth in Section 135.38.

**Section 3 Covered Contracts** – a contract or subcontract (including a professional service contract) awarded by a recipient or contractor for work generated by the expenditure of Section 3 covered assistance, or for work arising in connection with a Section 3 covered project.

Section 3 covered contracts do not include contracts awarded under HUD's procurement program, which are governed by the Federal Acquisition Regulation (FAR). Section 3 covered contracts also do not include the installation of the materials, the contract constitutes a Section 3 covered contract.

**Section 3 Covered Project** - the construction, reconstruction, conversion or rehabilitation of housing (including reduction and abatement of lead-based paint hazards), other public construction which includes buildings or improvements (regardless of ownership) assisted with housing or community development assistance.

**Section 3 Resident** – a public housing resident or an individual who resides in the metropolitan area or non-metropolitan county in which the Section 3 covered assistance is expended and who is considered to be a low-to very low-income person.

**Subcontractor** – any entity (other than a person who is an employee of the contractor) which has a contract with a contractor to undertake a portion of the contractor's obligation for the performance of work generated by the expenditure of Section 3 covered assistance, or arising in connection with a Section 3 covered project.

**Very low-income person** – families (including single persons) whose income do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

## CR-60 - ESG 91.520(g) (ESG Recipients only)

### ESG Supplement to the CAPER in *e-snaps*

#### For Paperwork Reduction Act

#### 1. Recipient Information—All Recipients Complete

##### Basic Grant Information

Recipient Name	FRANKLIN COUNTY
Organizational DUNS Number	046430641
EIN/TIN Number	316400067
Identify the Field Office	COLUMBUS
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Columbus/Franklin County CoC

##### ESG Contact Name

Prefix  
First Name  
Middle Name  
Last Name  
Suffix  
Title

##### ESG Contact Address

Street Address 1  
Street Address 2  
City  
State  
ZIP Code  
Phone Number  
Extension  
Fax Number  
Email Address

##### ESG Secondary Contact

Prefix  
First Name  
Last Name  
Suffix  
Title  
Phone Number  
Extension  
Email Address

#### 2. Reporting Period—All Recipients Complete

Program Year Start Date 04/01/2013  
Program Year End Date 03/31/2014

**3a. Subrecipient Form – Complete one form for each subrecipient**

Subrecipient or Contractor Name  
City  
State  
Zip Code  
DUNS Number  
Is subrecipient a victim services provider  
Subrecipient Organization Type  
ESG Subgrant or Contract Award Amount

## CR-65 - Persons Assisted

### 4. Persons Served

#### 4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 1 – Household Information for Homeless Prevention Activities

#### 4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 2 – Household Information for Rapid Re-Housing Activities

#### 4c. Complete for Shelter

Number of Persons in Households	Total
Adults	1,998
Children	2,938
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>4,936</b>

Table 3 – Shelter Information

#### 4d. Street Outreach

Number of Persons in Households	Total
Adults	1,998
Children	2,938
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>4,936</b>

Table 4 – Household Information for Street Outreach

#### 4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>0</b>

Table 5 – Household Information for Persons Served with ESG

#### 5. Gender—Complete for All Activities

	Total
Male	1,955
Female	2,980
Transgender	0
Don't Know/Refused/Other	1
Missing Information	0
<b>Total</b>	<b>4,936</b>

Table 6 – Gender Information

## 6. Age—Complete for All Activities

	Total
Under 18	2,938
18-24	635
25 and over	1,363
Don't Know/Refused/Other	0
Missing Information	0
<b>Total</b>	<b>4,936</b>

Table 7 – Age Information

## 7. Special Populations Served—Complete for All Activities

### Number of Persons in Households

Subpopulation	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	0	0	0	38
Victims of Domestic Violence	0	0	0	132
Elderly	0	0	0	5
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
<b>Persons with Disabilities:</b>				
Severely Mentally Ill	0	0	0	57
Chronic Substance Abuse	0	0	0	28
Other Disability	0	0	0	30
Total (Unduplicated if possible)	0	0	0	290

Table 8 – Special Population Served

## CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

### 8. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	109,241
Total Number of bed-nights provided	109,241
Capacity Utilization	100.00%

Table 9 – Shelter Capacity

### 9. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

## CR-75 – Expenditures

### 11. Expenditures

#### 11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Homelessness Prevention</b>	<b>0</b>	<b>0</b>	<b>0</b>

Table 10 – ESG Expenditures for Homelessness Prevention

#### 11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	0
<b>Subtotal Rapid Re-Housing</b>	<b>0</b>	<b>0</b>	<b>0</b>

Table 11 – ESG Expenditures for Rapid Re-Housing

#### 11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
Essential Services	0	0	0
Operations	21,815	59,012	76,965
Renovation	0	0	0
Major Rehab	0	0	0
Conversion	0	0	0

Subtotal	21,815	59,012	76,965
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Table 12 – ESG Expenditures for Emergency Shelter

**11d. Other Grant Expenditures**

	Dollar Amount of Expenditures in Program Year		
	FY 2009	FY 2010	FY 2011
HMIS	4,850	106,242	0
Administration	0	15,789	0
Street Outreach	0	0	0

Table 13 - Other Grant Expenditures

**11e. Total ESG Grant Funds**

Total ESG Funds Expended	FY 2009	FY 2010	FY 2011
284,673	26,665	181,043	76,965

Table 14 - Total ESG Funds Expended

**11f. Match Source**

	FY 2009	FY 2010	FY 2011
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	18,349,450
State Government	0	0	0
Local Government	0	0	87,242,240
Private Funds	0	0	51,852,058
Other	0	0	51,561,469
Fees	0	0	0
Program Income	0	0	0
<b>Total Match Amount</b>	<b>0</b>	<b>0</b>	<b>209,005,217</b>

Table 15 - Other Funds Expended on Eligible ESG Activities

**11g. Total**

Total Amount of Funds Expended on ESG Activities	FY 2009	FY 2010	FY 2011
209,289,890	26,665	181,043	209,082,182

Table 16 - Total Amount of Funds Expended on ESG Activities

# 2013 CAPER



**Franklin** County

**Economic Development & Planning Department**

REPORTING PERIOD: APRIL 1, 2013 - MARCH 31, 2014

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## Consolidated Annual Performance & Evaluation Report

Executive Summary  
Presented June 2014

Commissioners  
Marilyn Brown, President  
Paula Brooks  
John O'Grady,



Below is a list of participating jurisdictions by City, Village, and Township.

- |                           |                    |                     |
|---------------------------|--------------------|---------------------|
| City of Bexley            | Blendon Township   | Norwich Township    |
| City of Dublin            | Brown Township     | Perry Township      |
| City of Gahanna           | Clinton Township   | Plain Township      |
| City of Grandview Heights | Franklin Township  | Pleasant Township   |
| City of Grove City        | Hamilton Township  | Prairie Township    |
| City of Hilliard          | Jackson Township   | Sharon Township     |
| City of Reynoldsburg      | Jefferson Township | Truro Township      |
| City of Upper Arlington   | Madison Township   | Washington Township |
| City of Westerville       | Mifflin Township   |                     |
| City of Whitehall         |                    |                     |
| City of Worthington       |                    |                     |

- Village of Canal Winchester
- Village of Groveport
- Village of Harrisburg
- Village of Lockbourne
- Village of Marble Cliff
- Village of Minerva Park
- Village of New Albany
- Village of Obetz
- Village of Urbancrest
- Village of Valleyview



■ Jurisdictions we serve

**Non-Profit Partners:**

- Affordable Housing Trust
- Columbus Compact
- Columbus Metropolitan Housing Authority
- Columbus Urban League
- Community Capital Development Corporation
- Community Development Collaborative of Greater Columbus
- Community Housing Network
- Community Research Partners
- Community Shelter Board
- Dress for Success
- East Columbus Development Corporation
- Economic and Community Development Institute

- Franklin Park Conservatory
- Homes on the Hill
- Homeport
- Increase CDC
- LifeCare Alliance
- Mid-Ohio Regional Planning Commission
- National Church Residences
- Rebuilding Together Central Ohio
- United Way of Central Ohio
- YWCA Columbus

**The City of Columbus**

**County Partners:**

- County Engineer
- Sanitary Engineer
- Public Health

# 2013 CAPER

## Executive Summary

Franklin County Economic Development and Planning Department

This Consolidated Annual Performance and Evaluation Report, or CAPER, summarizes the County's accomplishments achieved through the use of the entitlement funds allocated it from the U.S. Department of Housing and Urban Development (HUD) for the 2013 Program Year (April 1, 2013 through March 31, 2014). Franklin County is the administrative authority of three HUD entitlement grants: the Community Development Block Grant (CDBG), the HOME Investment Partnership allocation and the Emergency Solutions Grant (ESG).

The CDBG grant fund is used to fund a variety of programs and projects that benefit low- and moderate-income County residents. These projects range from infrastructure improvements and economic development loans and grants, to homeowner rehabilitation programs, public services and fair housing initiatives.

The HOME program funds are used to provide loans and grants to homeowners, to owners of rental property and to nonprofit community housing development organizations for acquisition, rehabilitation and the construction of affordable housing units.

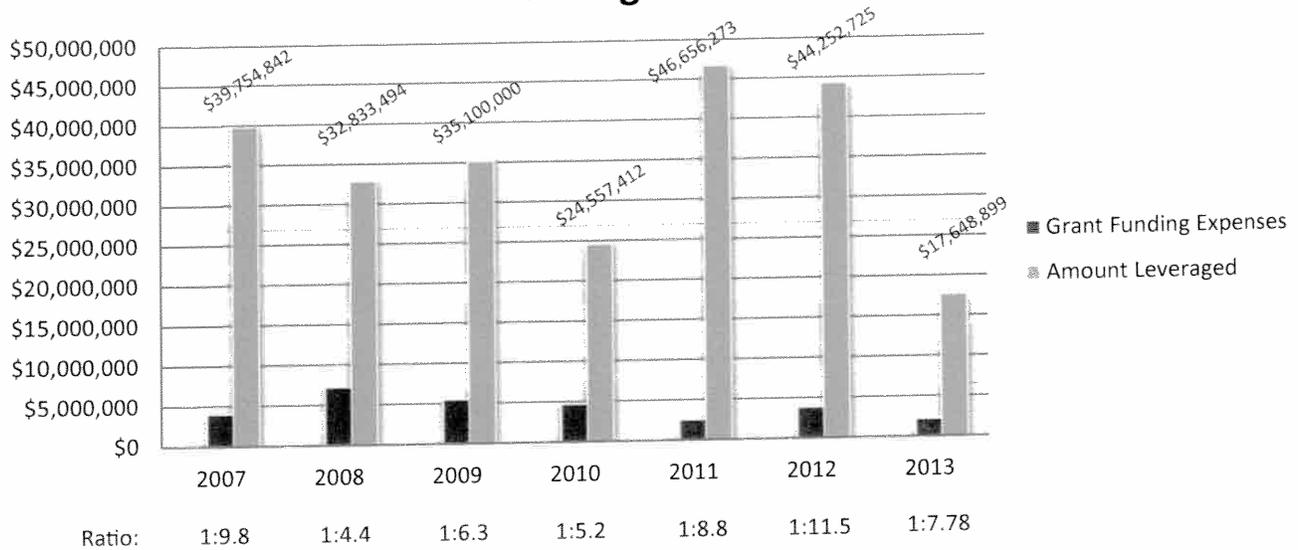
The ESG Grant is awarded to the Community Shelter Board who allocates the money to various homeless shelters to support their operations.

During the 2013 grant year, the Franklin County Commissioners expended \$2,624,163.12 in CDBG, HOME, and ESG funds to meet the County's Consolidated Plan goals.

### Summary of Accomplishments

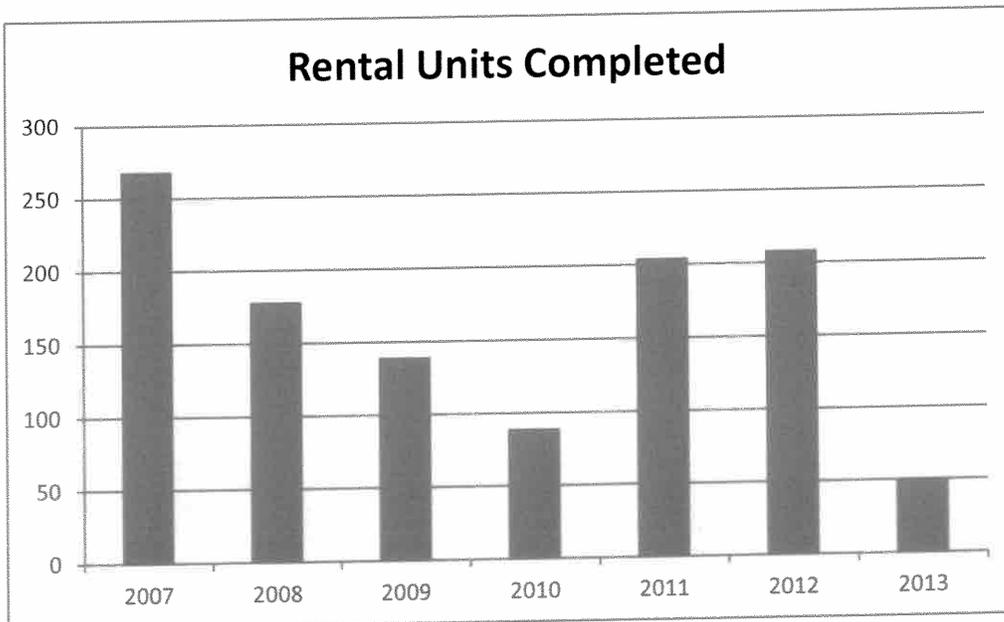
- **Leverage Ratio:** \$7.78 of private and other government funds for every \$1 awarded by the County
- **Rental Housing:** Funds committed for 50 new affordable rental units
- **Housing Assistance:** 155 households assisted with services including emergency home repairs, substantial rehabilitations, and handicap accessibility improvements
- **Public Services:** 859 households assisted with services including hearing enhancement, nutritional meals, community garden access, housing retention services, horticultural training, and career development for disadvantaged women
- **Infrastructure:** Three projects completed involving street improvements, improvements to the Drexel Theatre, and the demolition of 20 nuisance township properties
- **Economic Development:** 35 businesses created or expanded, 105 jobs created/retained, and 19 businesses assisted with training
- **Homelessness Prevention – Emergency Shelter Grant:** Housing and supportive services provided to 4,936 individuals through the Community Shelter Board and the Homeless Families Foundation

## Leveraged Funds

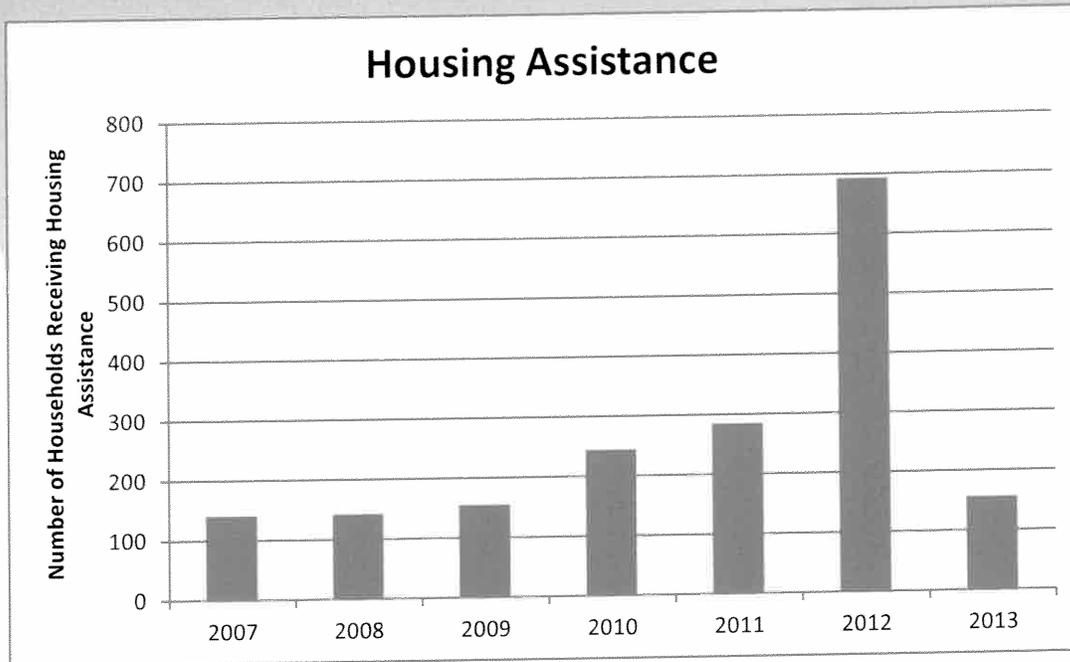


The leverage ratio is 7.78 for every \$1 in HUD funds expended. The decline in leveraged funds is attributable to the 40% cut in federal HOME funds since 2010.

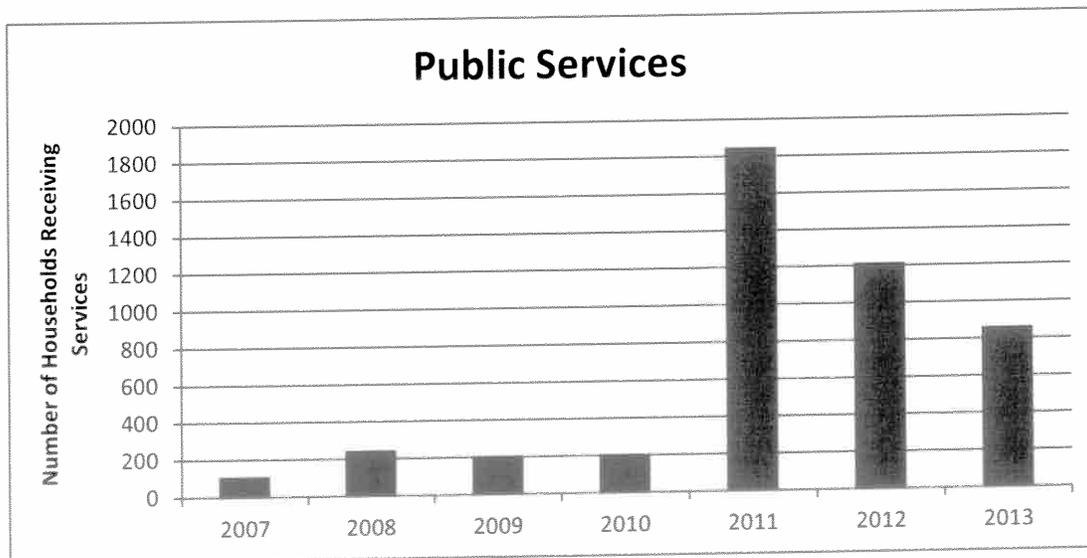
## Rental Units Completed



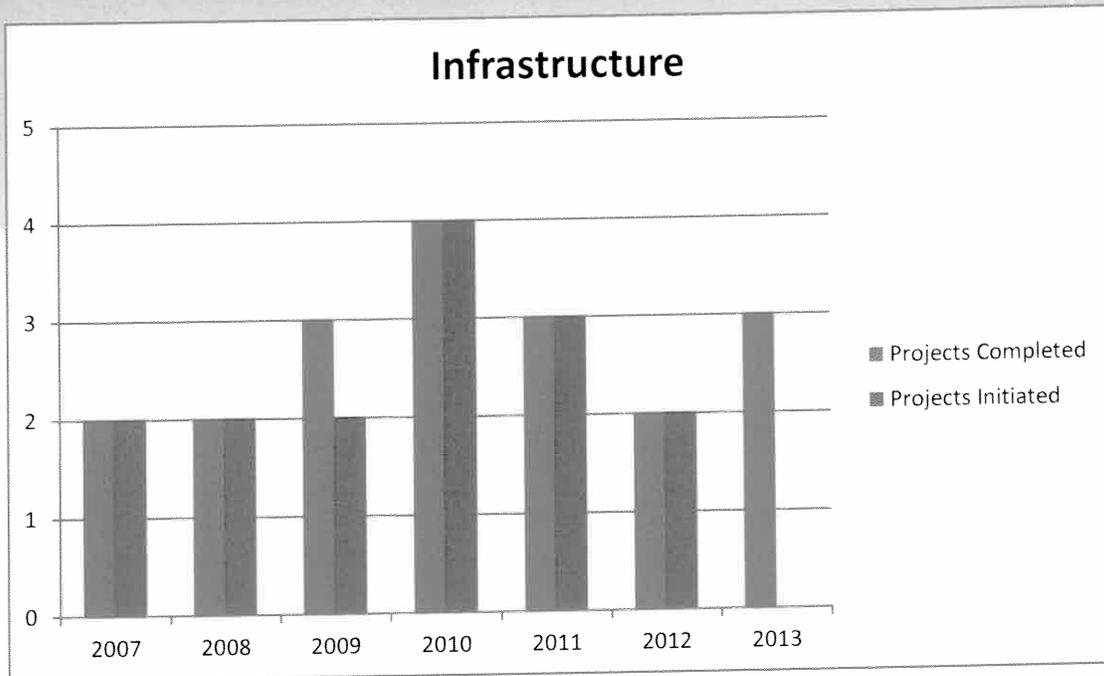
2013 Projects: Commons at Livingston II. (The decline in the number of rental units completed is attributable to the 40% cut in federal HOME funds since 2010.)



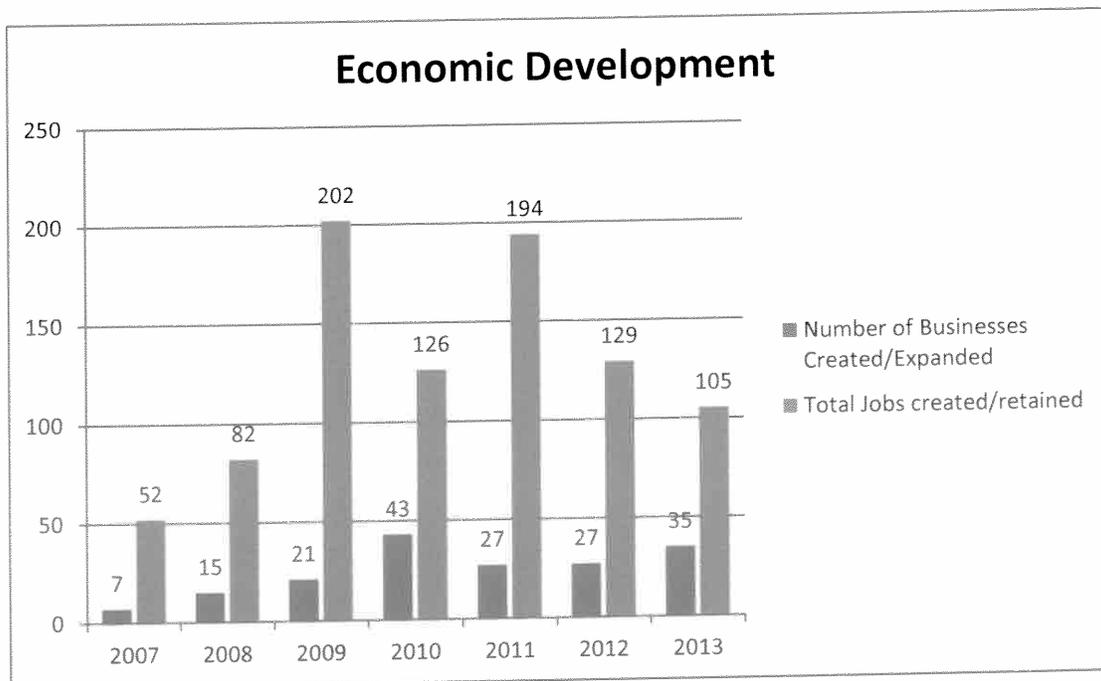
2013 Programs: A total of 155 households received emergency home repairs, substantial rehabilitations, handicap accessibility improvements, and housing counseling assistance. The decline in the number of clients served between 2012-2013 owes to the decline in housing counseling clients served during the program year. A majority of the clients were served in 2012 at the height of the housing foreclosure crisis.



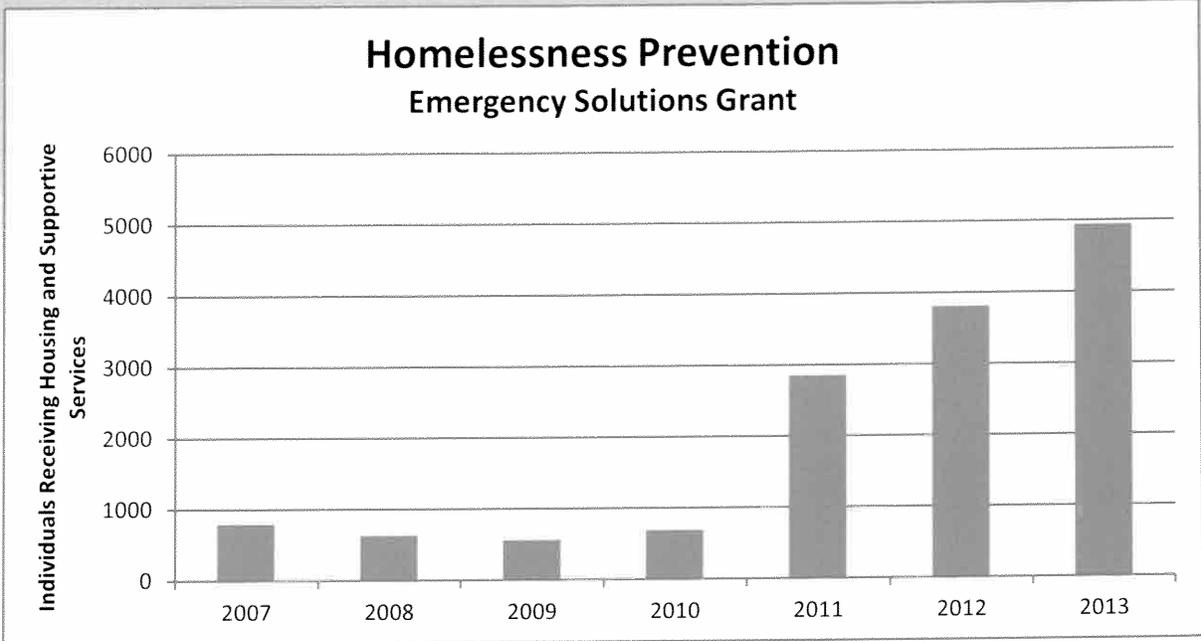
In 2013, 859 households were assisted with services including housing retention services, tool library, horticulture training, hearing enhancement, community gardens, and career development for disadvantaged women.



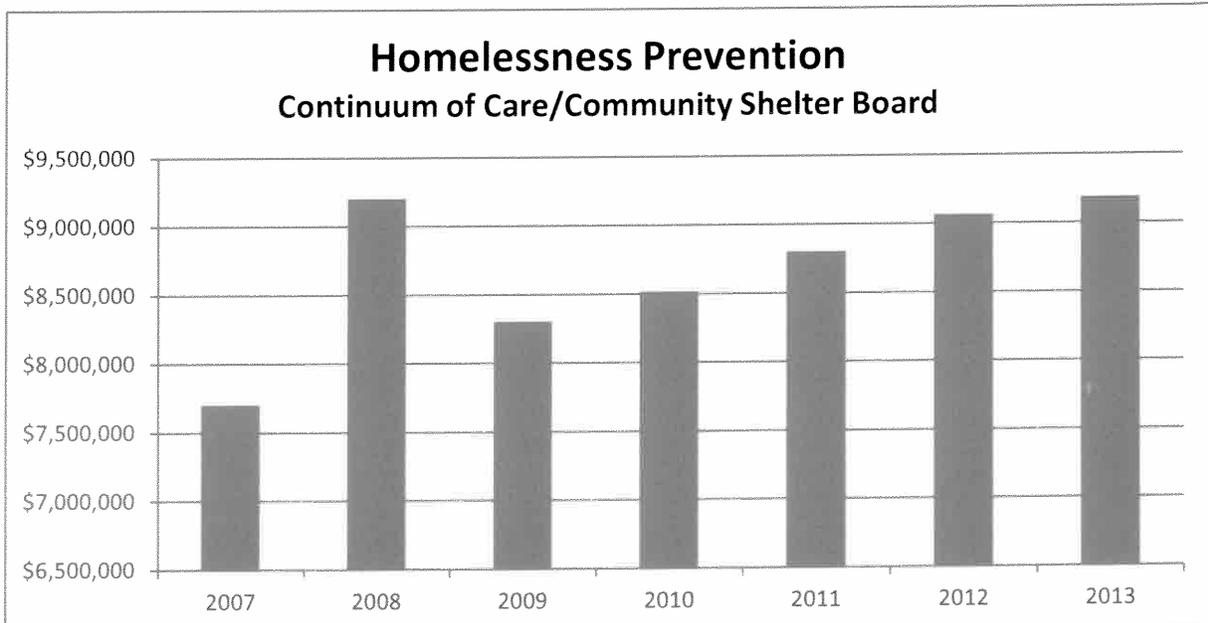
The three projects completed were the City of Whitehall, Village of Urbancrest and the Drexel Theater.



2013 Economic Development Impact: 35 businesses assisted, 105 total jobs created/retained, and 19 individuals received business development assistance. The decline in the number of businesses served between 2012 and 2013 is attributable to the shifting of funding to the retail business incubator; the outcomes for economic development should rebound in 2014 once the program becomes fully initiated.



In 2013, 4,936 people were served by the YWCA Family Shelter, funded by the Emergency Solutions Grant (ESG).



The Continuum of Care program was a collaborative project between Franklin County and the City of Columbus, funded by HUD, to provide homelessness prevention activities. A total of \$9,185,664 was awarded in 2013 for these services. The Community Shelter Board is a non-profit intermediary organization that innovates solutions, creates collaborations, and invests in quality programs to end homelessness in Columbus and Franklin County.

Franklin County Board of Commissioners



Commissioner Marilyn Brown  
*President*



Commissioner Paula Brooks



Commissioner John O'Grady



Produced by:



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**2013 CAPER**

Completed by Mark Paxson  
Published June 2014

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STATE OF OHIO, FRANKLIN COUNTY. SS:

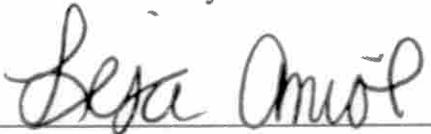
Amanda Hamilton  
Small Business/Call Center Manager

*The Columbus Dispatch*, a newspaper published at Columbus, Franklin County, Ohio, with a daily paid circulation of over 130,000 copies, personally appeared and made oath that the notice of which a true copy is hereunto attached was published in *The Columbus Dispatch* for 1 time(s) on

May 18, 2014

and that the rate charged therefore is the same as that charged for commercial advertising for like services.

  
subscribed and Sworn on this 30<sup>th</sup> day of May, 2014 as witness my hand and seal of office.

  
NOTARY PUBLIC - STATE OF OHIO



Lisa Aniol  
Notary Public, State of Ohio  
My Commission Expires 08-15-2017

**PUBLIC NOTICE**  
Franklin County, Ohio  
Consolidated Annual Performance and Evaluation Report for the Department of Housing and Urban Development (HUD) Program, Franklin County's Fiscal Year 2013 Consolidated Annual Performance and Evaluation Report (CAPER) for the Community Development Block Grant (CDBG), Emergency Shelter Grant (ESG) and Home Investment Partnership Program (HOME) will be presented to the Department of Housing and Urban Development by June 23, 2014. The CAPER reports the performance and financial information for the 2013 Fiscal Year. CDBG, ESG and HOME programs are administered by the County and the submitted information will be used on the CAPER. Public hearing information: The first hearing will be held on 11:00 a.m. Thursday, May 15, 2014, 8:00 a.m. - 11:00 a.m. at the County Administration Center, Suite 10, Columbus, Ohio. The second public hearing will be held on 11:00 a.m. - 1:00 p.m. Friday, May 23rd, also at the County Administration Center, Suite 10, Columbus, Ohio. A copy of the Draft CAPER will be available for public review at the County Administration Center, Suite 10, Columbus, Ohio 43215, at (614) 624-5378. The County will accept written comments on the Draft CAPER until June 23, 2014.  
Legal Notice No. - 02/14  
5/13

PUBLIC NOTICE  
Franklin County, Ohio  
Consolidated Annual Performance and Evaluation Report for the  
Department of Housing & Urban Development (HUD) Programs

Franklin County's Fiscal year 2013 Consolidated Annual Performance and Evaluation Report (CAPER) for the Community Development Block Grant (CDBG), Emergency Shelter Grant (ESG) and Home Investment Partnership programs (HOME) will be submitted to the U.S. Department of Housing and Urban Development by June 30, 2014. The CAPER reports the progress and performance of the 2013 Fiscal year CDBG, ESG and HOME programs administered by the County and its subrecipients.

Two public hearings will be held on the CAPER soliciting citizen comment. The first hearing will be 9:00 - 11:00 a.m., Thursday, May 22nd, 150 S. Front Street, Suite 10, Columbus, Ohio. The second public hearing will be held 6:00 - 8:00 p.m., Friday, May 23rd, also at 150 S. Front Street, Suite 10, Columbus, Ohio. Further, a copy of the DRAFT CAPER is available for public review by contacting: Mark Paxson in the Department of Economic Development & Planning, 150 S. Front Street, Suite 10, Columbus, Ohio 43215, at (614) 525-5578. The County will accept citizen comments on the Draft CAPER until June 23, 2014.

Legal Notice No. - 02/14

Resolution No.

June 24, 2014

**Resolution authorizing the submittal of the County's  
2013 Consolidated Annual Performance & Evaluation  
Report (CAPER) to the U.S. Department of Housing &  
Urban Development (HUD)**

(Economic Development & Planning Department)

**WHEREAS**, Franklin County, Ohio as an entitlement Urban County is required by the U.S. Department of Housing and Urban Development (HUD) to annually submit a Consolidated Annual Performance & Evaluation Report (CAPER) in conjunction with its receipt of federal Community Development Block Grant (CDBG), HOME (HOME) and Emergency Solutions Grant (ESG) funds; and

**WHEREAS**, the County's 2013 CAPER is due to HUD by June 30, 2014 and requires the County's approval in order to submit it; and

**WHEREAS**, the Board of Commissioners, Franklin County, Ohio is the authorized body to grant such approval and submit the report to HUD in accordance with the regulations of the Housing and Community Development Act of 1974, as amended; so

**NOW THEREFORE BE IT RESOLVED**, by the Board of Commissioners, Franklin County, Ohio

That the County's 2013 CAPER shall be submitted in accordance with the regulations of the Housing and Community Development Act of 1974, as amended; and that the report is consistent with Franklin County's 2010 - 2014 Consolidated Plan and is hereby approved.

Prepared by: Mark Paxson

SIGNATURE PAGE FOLLOWS